## PRELIMINARY EXAMINATION: APPLIED MATHEMATICS — Part I

January 10, 2022

Work all 3 of the following 3 problems.

**1.** Let X be an NLS and  $\{x_n\}_{n=1}^{\infty}$  be a sequence from X.

(a) If  $x_n \to x$  as  $n \to \infty$ , prove that  $x_n \rightharpoonup x$ .

(b) If  $\{x_n\}_{n=1}^{\infty}$  converges weakly as  $n \to \infty$ , prove that its weak limit is unique.

(c) If  $x_n \stackrel{w}{\rightharpoonup} x$  as  $n \to \infty$ , prove that  $\{\|x_n\|_X\}_{n=1}^{\infty}$  is bounded. [Hint: use the Uniform Boundedness Principle.]

(d) If  $x_n \stackrel{w}{\rightharpoonup} x$ , prove that  $||x|| \leq \liminf_{n \to \infty} ||x_n||$ . [Hint: use one of the corollaries of the Hahn-Banach Theorem.]

**2.** For a vector space V, recall that  $B \subset V$  is a *Hamel basis* if every element of V can be expressed uniquely as a finite linear combination of the vectors in B.

(a) State the Baire Category Theorem.

(b) Prove that an infinite dimensional Banach space X cannot have a countably infinite Hamel basis. [Hint: suppose  $\{e_n\}_{n=1}^{\infty}$  is a Hamel basis and consider  $X_n = \text{span}\{e_1, \ldots, e_n\}$  (show that  $X_n$  has empty interior).]

**3.** Spectral theory.

(a) Suppose X and Y are Banach spaces and  $T \in B(X, Y)$  is bounded below. Prove that T is one-to-one and R(T) is closed in Y.

(b) Let H be a Hilbert space and  $T \in B(H, H)$  be a self-adjoint operator. Prove that  $\langle Tx, x \rangle \in \mathbb{R}$  for all  $x \in H$ .

(c) Let H be a Hilbert space and  $T \in B(H, H)$  be a self-adjoint operator. Prove that the spectrum  $\sigma(T) \subset \mathbb{R}$ .