

S. Gukov - Link homologies, instantons & BPS invariants

Note Title

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K (knot or link) in Y ($= S^3, \mathbb{R}^3, \dots$)

R rep of group G (or of $\text{conj-cls } G$)
(or of $U_q \mathfrak{g}$)

\rightsquigarrow link homology $\mathcal{H}_{*,*}^{G,R}(K)$

Old link invariants: R rep of $U_q \mathfrak{g} \rightsquigarrow$

$P_{\mathfrak{g},R}(K)$ polynomial in q .

Relation: $\chi_q(\mathcal{H}_{*,*}^{G,R}(K)) = P_{\mathfrak{g},R}(K)$
Poincaré polynomial

Two well-known physical interpretations of polynomial invariants $P_{\mathfrak{g},R}(K)$:

3d TQFT:

Chern-Simons-Witten theory

Topological strings:
enumerative geometry
of Calabi-Yau 3-folds

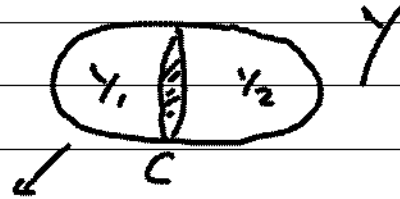
\vdots each admits a
"homological lift"
4d TQFT

3d TQFT is a functor

3-manifolds $Y \rightsquigarrow$ number $Z(Y)$ (partition function)
2-manifold $C \rightsquigarrow$ vector space \mathcal{H}_C
...

e.g. Heegaard decomposition:

$$Y = Y_1 \cup_C Y_2$$



$$Z(Y) = \langle Y_1 | Y_2 \rangle \quad \langle Y_1 | \in \mathcal{H}_C \Rightarrow | Y_2 \rangle$$

$\langle \rangle$ scalar product on \mathcal{H}_C

4d gauge theory M closed 4-manifold $\rightsquigarrow Z(M)$

On $\mathbb{R} \times Y^3 \rightsquigarrow$ Hilbert space of groundstates of Y

On $\mathbb{R}^2 \times C \rightsquigarrow$ category $\mathcal{F}(C)$ of boundary conditions

geometric picture: PDEs on 4-manifolds

e.g. instanton equations

$Z(M) = \mathcal{Z}(M(M))$ Euler char of space of solutions of the PDE on M (instantons)

$\mathcal{H}_Y = H^*(M(Y))$ solutions of reduced PDE on a 3-manifold Y (monopole eqs)

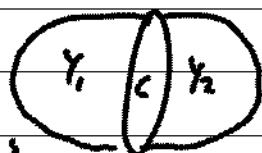
$$F(C) = \begin{matrix} \text{or} \\ \cdot \end{matrix} \begin{matrix} \text{Fukaya category of } \mathcal{M}(C) \\ \cdot D^b(\mathcal{M}(C)) \end{matrix}$$

correspond to A or B type boundary conditions

$\mathcal{M}(C)$ = vortex moduli space

eg Donaldson-Witten theory: moduli of flat G -connections on C , symplectic \rightsquigarrow loci of Fukaya category

$\mathcal{H}_\gamma = HF^{inst}(\gamma)$ instanton Floer homology of γ .



Heegaard or other "generic" splittings

$$\mathcal{B}_1 \in F(C) \Rightarrow \mathcal{B}_2$$

\mathcal{B}_i : Lagrangian in moduli of flat G -connections on C \rightsquigarrow take their Floer complex:

$$\mathcal{H}_\gamma = \text{Hom}_{F(C)}^*(\mathcal{B}_1, \mathcal{B}_2) = H_{\mathcal{M}(C)}^{\text{symplectic}}(\mathcal{B}_1, \mathcal{B}_2)$$

$$= HF^{inst}(\gamma) \quad // \text{ Atiyah-Floer conjecture}$$

$\mathcal{M}(C)$ = moduli of flat G -connections on C , symplectic.

• Incorporating knots & links in 3d TFT

"line operators": $\langle \text{knot trace} \rangle$ number

$$\mathbb{Z}_{\gamma, k} = P(k)$$

• In 4d TFT: associate to 3-manifold

with knot or link a vector space: use

surface operators on 2d submanifold

Embedded surface $D \subset M \rightsquigarrow$ number $\mathbb{Z}_{M, D}$

... study moduli of "verified instantons"

(Kronheimer - Mrowka)

• $M = \mathbb{R} \times Y \rightsquigarrow \mathcal{H}_{Y, k}$ knot homology

$$\cup \quad \cup$$

$$D = \mathbb{R} \times K$$

$$M = \mathbb{R}^2 \times C$$

$$\cup \quad \cup$$

$$D = \mathbb{R}^2 \times p$$

$\rightsquigarrow \mathcal{F}(C, p)$ category associated
to a curve and verification
data at p .

Decategorification: What is $\chi(\mathcal{H}_{Y,K})$?

-- compactification / reduction on S^1 :

$$\begin{aligned} R=Y \underset{\text{index}}{\rightsquigarrow} \mathcal{H}_Y \rightsquigarrow \chi(\mathcal{H}_Y) &= \text{Tr}_K(-1)^F \\ &= Z(S^1 \times Y) \end{aligned}$$

$$\text{So } \chi(\mathcal{H}_{Y,K}) = Z(S^1 \times Y, S^1 \times K)$$

Ex. Doldson-Witten theory $G=SU_2$

$\chi(\mathcal{H}_{Y,K}) = \text{Casimir invariant of } Y$
+ equivariant knot signature of K .

-- piecewise constant function, jumps at
roots of Alexander polynomial

$$\begin{aligned} \cdot \text{ Seiberg-Witten theory } & \begin{cases} F_A^* - i(\psi \bar{\psi})^* = 0 \\ \not{D}_A \psi = 0 \end{cases} \\ \psi \text{ spinor,} & \\ A \text{ abelian connection} & \end{aligned}$$

$$\chi(\mathcal{H}_{S^3,K}) = \Delta(K, q) \text{ Alexander polynomial of } K$$

... q enters into parameters of surface operators
-- \mathcal{H}_K is in fact bigraded, electrically

... in fact have grading by $S\mathbb{H}_1(Y; K)$ / torsion
spin structures

Remark $P_{g,R}(g; K)$ or e.g. Jones polynomial
 $J(g) = P_{S^1, V}(g, K)$
from 4d TQFT?

Any 4d topological gauge theory on $M = S^1 \times Y$
has the symmetry corresponding to change of
orientation $Y \rightarrow \bar{Y}$:
 $Z(S^1 \times Y) = Z(S^1 \times \bar{Y})$

Now suppose this = $Z_{CS}(\xi)$ ξ primitive root
of unity

$\Rightarrow Z(S^1 \times \bar{Y}) = Z_{CS}(\xi^{-1})$ since

Chern-Simons is based on action

$$S_{CS} = \frac{k}{4\pi} \int_Y \text{Tr} \left(A \wedge dA + \frac{2}{3} A^3 \right)$$

So will only find (from 4d TFT) knot invariants

s.t. $Z(g) = Z(g^{-1})$... e.g. Alexander poly,

BUT Jones polynomial is not of this type!

So to circumvent this study 4d theory on $Y \times \text{interval}$ instead - now must fix boundary conditions B_{\pm} at ends

Here to categorify these invariants need 5d TQFT on $\mathbb{R} \times I \times Y$

4d TQFT

- any G , any Y, \dots
- doubly graded
- cutting & pasting/functoriality
- hard to compute (even for S^2)

Topological string

- Classified groups on special Y , eg lens spaces, toric 3-fold Manifolds
- triply graded (package doubly graded knot homologies in efficient way)
 - one vector space for each type A, B, C, D
- hard to see cut/paste
- very computable (refined topological vertex)