

Sergei Gukov - Link homology & BPS invariants

Note Title

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Three stories:

(K) Homological invariants of 3-manifolds $M_3 = S^3/\Gamma$
 $\Gamma \subset SU_2 \leftrightarrow ADE$: lens spaces.
e.g. $\Gamma = \mathbb{Z}/n$

(I) Equivariant instanton counting on \mathbb{R}^4
with gauge group G of type Γ ADE
e.g. $G = U_n$

(C) Curve counting on X (CY3)
 $X = " \mathbb{C}^2/\Gamma " \rightarrow \mathbb{P}^1$
Fibration over \mathbb{P}^1 with ADE singular fibers.
e.g. $\mathbb{C}^2/\Gamma \simeq U(-1)$ over \mathbb{P}^1

Connection between the three:

M-theory on 11-dim space $\mathbb{R} = \mathbb{R}^4 \times X$

line \uparrow \searrow
instantons curve counting

(C) \rightarrow (I): counting BPS states on X

Curve counting (GW theory): integration over (virtual fundamental class on) moduli of instantons $\Sigma_g \rightarrow X \cong$
 $GW_{g, \rho}(X) \in \mathbb{Q}$, $\rho \in H_2(X, \mathbb{Z})$

- these make sense in any dimension, but in dimension three have integer enumerative invariants of X :

- Gopakumar-Vafa invariants
- Donaldson-Thomas invariants (from abelian gauge theory)

M theory POV on G-V invariants:

have M2 branes supported on 3-dimensional submanifolds in spacetime: have Hilbert space for fixed time slice, & $\mathcal{H}_{\text{BPS}} = H_0^*$
 Cohomology of BRST charge on Hilbert space

To be time-translation invariant we need our

M2 brane to wrap a 3-manifold of the form $\mathbb{R} \times \Sigma$; we'll look at those which appear as point particles in \mathbb{R}^4 :

so place $\Sigma \subset X$, $p \in \mathbb{R}^4 \Rightarrow$

M2 brane on $R \times p \times \Sigma$: looks like point particle in R^4
 $SU(2)_L \times SU(2)_R \cong SO(4) \hookrightarrow \mathbb{R}^4 \rightsquigarrow 2$ spins
 for this particle, (j_L, j_R)
 describing the $SU(2) \times SU(2)$ representation.

$$\gamma_B = \phi_R[\Sigma] \in H_2(X, \mathbb{Z}) \text{ class of } \Sigma.$$

So on spacetimes $R \times R^4 \times X$ we find that
 \mathcal{H}_{BPS} is triply graded, by

$$\mathbb{Z}^{j_L} \oplus \mathbb{Z}^{j_R} \oplus \mathbb{Z}^{b_2} \quad b_2 = \dim H_2(X, \mathbb{Z})$$

[In fact we also have an additional $\mathbb{Z}/2$ grading
 by statistics (boson/fermion) which we'll ignore]

We're counting thus embedded curves not
 stable maps! \rightsquigarrow get integer invariants, ..
 also genus g is implicitly contained in
 (j_L, j_R)

$$\text{Let } m = 2j_L + 1 \text{ (dim of } SU(2) \text{ rep of spin } j_L) \\ n = 2j_R + 1$$

$$D_{m,n,\beta} := \text{Tr} (-1)^F \text{ on } \mathcal{H}_{\text{BPS}}^{m,n,\beta} \quad (F = \mathbb{Z}/2 \text{ grad})$$

- still not a good invariant [... really count just how many times m,n representation occurs]

For generic X this depends on Kähler structure & complex structure

To get an invariant let $D_{n,\beta} = \text{Tr}_{\mathcal{H}_{\text{BPS}}^{X, \beta}} (-1)^F (-1)^m$
 - does give an invariant,
 the DT or GV invariants

In good cases $D_{n,\beta} = \dim H^m(\mathcal{M}_{n,\beta}(X))$
 where $\mathcal{M}_{n,\beta} =$ moduli space of
 pairs $\{ \Sigma_g = X \hookrightarrow U(1) \text{ local system on } \Sigma \}$
 with genus g related to m .

eg if Σ is rigid get just Jacobian of Σ .

... so one of the gradings has cohomological origin.

Remark: $D_{n,m,\beta}$ invariants if X is rigid
 $\hookrightarrow \rho \in H_2(X)$ - no wall crossings possible!

(nothing for ρ to pair up with to get well crossings)

Examples come from toric (local) Calabi-Yau:

ex. $X = \mathcal{O}_{\mathbb{P}^1}(-1) \oplus \mathcal{O}_{\mathbb{P}^1}(-1)$,

$$H_2(X) = \mathbb{Z}$$

or its quotient by Γ ... when resolving singularity find new deformations.

I

In string or M theory on \mathbb{C}^2/Γ
one finds ADE gauge theory supported on the
singular locus

In our case $\mathbb{C}^2/\Gamma \oplus \mathcal{O}(-1) \times \mathbb{P}^1$

get G gauge theory on $\mathbb{R} \times \mathbb{R}^4 \times \mathbb{P}^1$
(we'll ignore the compact factor)

BPS states on $\mathbb{R} \times \mathbb{R}^4 \times X$:

localized in $\mathbb{R}^4 \rightsquigarrow \mathbb{R} \times \rho = \Sigma$ completely
get instanton in $\mathbb{R}^4 \simeq \mathbb{C}^2$

Consider $\mathbb{T} = S^1 \times S^1 \hookrightarrow \mathbb{C}^2 \xrightarrow{\sim} \mathbb{R}^4$
 (forms of $SO(4)$) $(z_1, z_2) \mapsto (e^{i\epsilon_1 z_1}, e^{i\epsilon_2 z_2})$

$\mathcal{M}(k, G) =$ moduli space of ASD connections
 on \mathbb{R}^4 , $c_2 = k$ instanton number

$$\tilde{\mathbb{T}} = S^1 \times S^1 \times \mathbb{T}_G \quad \mathbb{T}_G = \text{forms of } G$$

$$Z_{\text{inst}} = \sum_k \Lambda^k \int_{\text{Mod}(k, G)} 1 \in \mathbb{Q}(\epsilon_1, \epsilon_2, \vec{a}) [1]$$

weights of $\tilde{\mathbb{T}}$

Nekrasov partition function

Parameters $\epsilon_1, \epsilon_2, \vec{a}$ are analogs
 of $\beta \in H_2(X)$ in the \mathbb{C} description.

Ex. $\Gamma = 1$ trivial $M_3 = S^3$

$$X = \mathcal{O}(-1) \oplus \mathcal{O}(1), \quad G = U(1)$$

[in general G would have a factor $U(1)^{b_2}$
 on a CY3 with bigger H_2]

$$Z_{\text{inst}} = \sum_k \Lambda^k \int_{S^k \mathbb{R}^4} 1 = \sum_k \frac{\Lambda^k}{k! (\epsilon_1 \epsilon_2)^k} = e^{\Lambda \epsilon_1 \epsilon_2}$$

K-theoretic version ... comes from 5-dim gauge

theory:

$$Z_K = \exp \left(\sum_d \frac{\Lambda^d}{d(1-e^{\epsilon_1 d})(1-e^{\epsilon_2 d})} \right)$$

... factor in GW theory corresponding to an isolated curve

||| To avoid complications will continue to assume Γ is trivial

(K) let's consider knot or link $K \subset M_3$
(& maybe later representations of quantum groups..)

(I) introduce surface operators on $\mathbb{R}^2 \subset \mathbb{R}^4$

(C) Count also curves with boundary on a Lagrangian submanifold $L_k \subset X$

BPS invariants: $\mathbb{R} \times \mathbb{R}^4 \times X$

now look at M5 branes, on 6-dimensional
submanifolds: $\mathbb{R} \times \mathbb{R}^4 \times X$

$$M5 = \begin{matrix} \mathbb{R} & \times & \mathbb{R}^4 & \times & X \\ \text{sl} & & \cup & & \cup \end{matrix}$$

$$M2 = \begin{matrix} \mathbb{R} & \times & p & \times & \Sigma \\ \text{sl} & & \cup & & \cup \end{matrix}$$

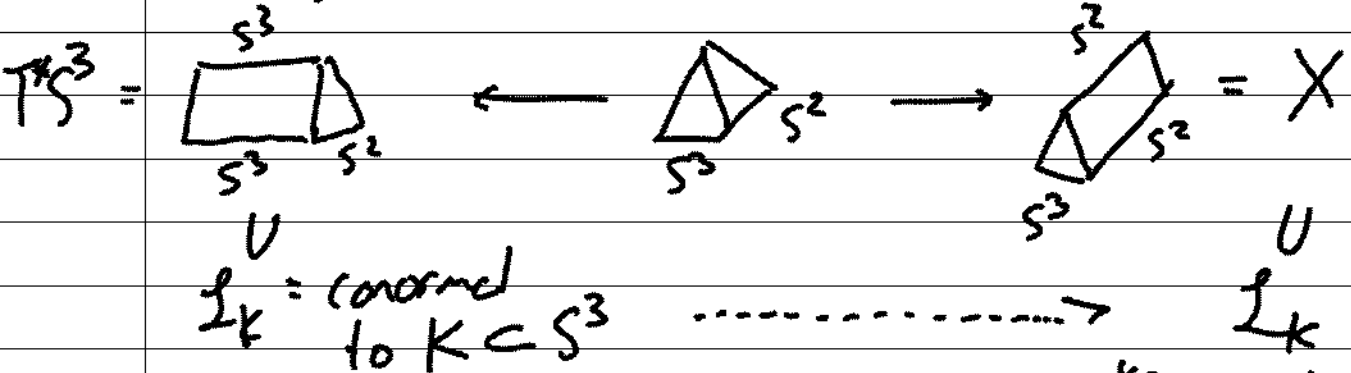
[\mathbb{R}^2 preserved
by two
SU(2)s]

can now end on the M5: $\partial \Sigma \subset \mathbb{L}_k$

$[\Sigma] = \beta \in H_2(X, \mathbb{L}_k)$ relative homology class

Assume $\Gamma=1$ trivial, $X = O(-1) \oplus O(-1)$:

asymptotically a cone on $S^3 \times S^2$



"same" Lagrangian

transition doesn't change geometry asymptotically

C. Taubes says can be extended inwards?

produces Lagrangian L_k for every knot diagram.

$$\begin{array}{ccccc}
 T^*S^3 & \xleftarrow{\text{dehorn}} & \begin{array}{c} \triangle \\ S^3 \quad S^2 \end{array} & \xrightarrow{\text{resolve}} & X \\
 \{ \sum z_i^2 = \mu \} & & \sum z_i^2 = 0 & & \text{resolved con. lift}
 \end{array}$$

L_k = equator in S^2
 knot in S^3
 + cone

cone on
 $K \times$ equator

cone on
 $K \times$ equator

equator is

the generator of $H_2 = \mathbb{Z}$

\Rightarrow can have discs covering either hemisphere of core P^1 & ending on equator inside L_k .

So H_2 is $\mathbb{Z} \oplus \mathbb{Z} \oplus \mathbb{Z}$ grad'd
 " $H_2(X, L_k)$