

M. Khovanov - Categorifying Quantum Groups

Note Title

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w/ A. Lauda

Goal: categorification of U_q^- for a simply-laced Cartan datum ... realize as $K_0(\dots)$

Γ graph, unoriented, no loops or multiple edges
 $I = \text{Vertices}(\Gamma)$

Bilinear form \cdot on $\mathbb{Z}[I]$

$$i \cdot j = \begin{cases} 2 & i=j \\ -1 & i \text{ --- } j \\ 0 & \text{; ;} \end{cases}$$

$'f$: free associative algebra / $\mathbb{Q}(q)$ on generators Θ_i $i \in I$
 $\mathbb{N}[I]$ - graded with $\text{deg } \Theta_i = i$

$$'f = \bigoplus_{v \in \mathbb{N}[I]} 'f_v$$

Equip $'f \otimes 'f$ with a twisted multiplication

$$(x_1 \otimes x_2)(x_1' \otimes x_2') = q^{-|x_1'| \otimes |x_2|} x_1 x_1' \otimes x_2 x_2'$$

Comultiplication $\Delta\theta_i = \theta_i \otimes 1 + 1 \otimes \theta_i$

Bilinear form on 'f':

- $(1, 1) = 1$
- $(\theta_i, \theta_j) = \delta_{ij} \frac{1}{1 - q^2}$
- $(x, y\gamma') = (\Delta x, y \otimes \gamma')$
- $(x\gamma', y) = (x \otimes \gamma', \Delta y)$

$I = (\text{kernel of } (,))$ is a 2-sided ideal in 'f'

$$f := 'f/I = \bigoplus_{\mathbb{N}[i]} f_{\nu}$$

$$\left. \begin{array}{l} \theta_i \theta_j - \theta_j \theta_i \quad \vdots \quad \vdots \\ \theta_i \theta_j \theta_k - \theta_i^{(2)} \theta_j - \theta_j \theta_i^{(2)} \quad \vdots \quad \vdots \end{array} \right\} \in I$$

$$\left(\text{Here } \theta_i^{(n)} = \frac{\theta_i^n}{[n]!} \right)$$

Theorem (q-Gabber-Kac) I is generated as a 2-sided ideal by these elements.

'f is a twisted bialgebra

Integral form $f_x \subset f$:

$\mathbb{Z}[q, q^{-1}]$ - subalgebra generated by all divided powers

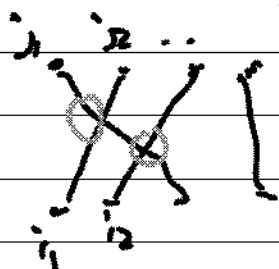
$$Q_i^{(n)} \quad n \geq 0 \quad i \in I$$

Geometric Interpretation of (,)

Let $\text{seq}(v) =$ all sequences $i_1 i_2 \dots i_m$ of vertices in I wh $v = i_1 + i_2 + \dots + i_m$, $v \in N(I)$

Let $\theta_i = \theta_{i_1} \theta_{i_2} \dots \theta_{i_m}$ $i \in \text{seq}(v)$

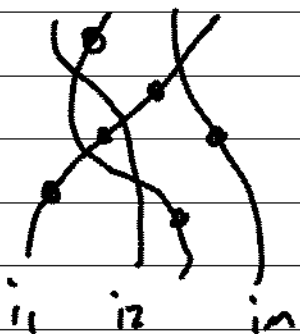
$$(\theta_i, \theta_j) = \sum_{\text{permutations taking } i \text{ to } j} q^{-\sum i \circ j} \left(\frac{1}{1-q^2} \right)^{m_j}$$



... sum over interorders

Now replace \mathbb{Z} by a field k

Draw pictures in the plane where strands carry dots



(up to obvious isomorphisms)

$v = i_1 + \dots + i_m$ fixed

Ring $R(v)$ generated by these pictures with relations

$$\bullet \quad \text{Crossing} = \begin{cases} 0 & i=j \\ \text{Diagram 1} & i < j \\ \text{Diagram 2} & i > j \end{cases}$$

$$\bullet \quad \text{Crossing with dot} = \text{Crossing with dot} = \text{Crossing with dot} = \text{Crossing with dot} \quad \text{if } i \neq j$$

$$\bullet \quad \text{Crossing with dot} - \text{Crossing with dot} = \text{Diagram 1} = \text{Crossing with dot} - \text{Crossing with dot}$$

• unless $i=j$

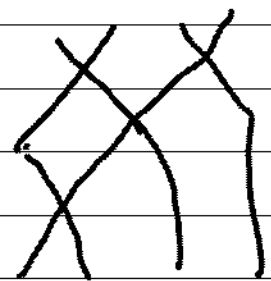
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• $\deg \text{dot}_i = 2$, $\deg \text{X}_{ij} = -i \cdot j$

\Rightarrow any diagram is equivalent to a diagram (possibly with many more dots) which is a sum of reduced presentations of permutations, & all dots are at top of diagram

Fix a reduced word w' for each $w \in S_n$

$w' \mapsto \tilde{w}$ picture



So any element can be

written as $\sum_{w \in S_n} (\underbrace{\sum \dots}_{\text{dots at top}}) \tilde{w}_{i_1 \dots i_m}$ i given sequence of bottom

$$R(v) = \bigoplus_{\text{Seq}(v)^2} \underset{i}{\underset{j}{\underset{k}{\dots}}} R(v)_{i,j,k,\dots}$$

i bottom
 j top

$$P_i = \bigoplus_{j \leq i} R_{i,j}$$

left projective module

$$P = \bigoplus_{i \leq j} R_{i,j}$$

right projective module

} graded

$$\text{gr dim } \underset{i}{\underset{j}{\dots}} R(v) \leq (\theta_1, \theta_2)$$

($\frac{1}{(1-q^2)^m}$ term accounts for dots)

... actually want an equality on the graded dimension..

Example: single vertex \bullet^i

$$R(m; i) \simeq \text{NH}_m \text{ Ml Hecke algebra}$$

$$= \text{Ends of } k[x_1, \dots, x_n]$$

generated by x_k and divided differences

$$\partial_k(f) = \frac{f - f^{s_k}}{x_k - x_{k+1}}$$

$$\partial_k^2 = 0 \iff \partial_k = 0$$

$\partial_k - \partial_{k+1} = 1$ is commutator of x_k and ∂_k giving identity

$$+ \text{Yang-Baxter } \partial_k \partial_{k+1} \partial_k = \partial_{k+1} \partial_k \partial_{k+1}$$

$$\text{NH}_m \simeq \text{Mat}(m!, k[x_1, \dots, x_n]^{S_m})$$

-- all operators commute with symmetric polynomials.
get matrix algebra of rank $m!$ over this algebra.

$NH_m \cong \bigoplus_{m!} P_m$ $m!$ copies of the indecomposable projective

- start in deg $-\frac{m(m-1)}{2}$ for bubble.

- Divided powers will correspond to paths to indecomposable summands!

$$\Leftrightarrow \begin{array}{ccc} \Theta_i^m & \longrightarrow & \Theta_i^{(m)} \\ NH_m & \longrightarrow & P_m \end{array}$$

• $e = \text{X} \Rightarrow e^2 = \text{X} \times \text{X} = \text{X} + \text{X} = \text{X} = e$
 idempotent

Note for all i have idempotent

$$1_i = \begin{array}{c} ||| \\ i_1 \dots i_m \end{array} \quad \& \quad 1 = \sum_{i \in \text{Seq}(V)} 1_i$$

$R(v)$ acts faithfully on Pol_v multi-polynomials

$$\text{Pol}_v = \bigoplus_{S \subseteq [v]} \text{Pol}_i, \quad \text{Pol}_i = k[x_1 \dots x_m]$$

i_j acts as identity of Pol_i & 0 elsewhere

$$\begin{array}{c} | | | \bullet | | \dots | \\ i_1 \dots i_k \dots i_m \end{array} \hookrightarrow \text{Pol}_i \text{ as } x_k \bullet$$

$$\begin{array}{c} | | | \times | | \\ i_1 \dots i_k \dots i_m \end{array} \quad \begin{array}{c} j = i_k \\ \vdots \\ i \end{array} \quad \begin{array}{c} \text{Pol}_i \\ \uparrow \\ \text{Pol}_i \end{array} \quad \begin{array}{c} \vdots \\ \vdots \end{array} \text{ by cases}$$

• $\begin{array}{c} \times \\ \vdots \\ i \end{array}$: apply divided difference from Pol_i to Pol_v

• $\begin{array}{c} \times \\ \vdots \\ i \end{array} \begin{array}{c} \vdots \\ \vdots \\ j \end{array}$: just transpose x_k & x_{k+1}

• $\begin{array}{c} \times \\ \vdots \\ i \end{array}$ with $\begin{array}{c} \text{---} \\ i \quad j \end{array}$: choose orientation of graph
do transposition if $i \leftarrow j$
& $f \mapsto (x_k + x_{k+1}) \cdot f^{\text{sym}}$ if $i \rightarrow j$

Check relations ... need some shifts to make the representation graded.

Then find that our $\{f_i\} \tilde{w}$ give a basis in $R(w)$

$$\Rightarrow \boxed{\text{grad. } \bigoplus_i R(v)_i = (\mathcal{O}, \mathcal{O})}$$

(This rep should be ^{equiv.} \mathbb{R} -cohomology of \mathcal{O} fiber in Lusztig's normal rep procedure for quiver flag variety, $\bigoplus_i R(v)_i$ should

be part of convolution algebra ...)

... pictorial restatement of Lusztig's construction.

$$\begin{aligned} Z(R(v)) &= \bigotimes_i \mathbb{Z}[x_1, \dots, x_v]^{S_{v_i}} \\ &\cong H_{\text{top}}^*(\text{Flag}(v)) \end{aligned}$$

Want to consider $R = \bigoplus_{v \in \text{Nodes}} R(v)$

$K_0(R) := \bigoplus_v K_0(R(v))$

K -group of f.g. graded left projective $R(v)$ -modules
 a $\mathbb{Z}[q, q^{-1}]$ -module

Grading is bounded below, R noetherian,
 fin many indecomposables of v

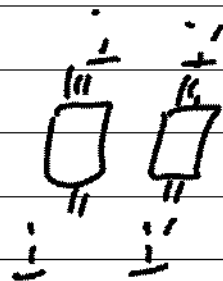
$\leadsto K_0(R)$ has a basis $[P_k]$ indecomposable projectives $k \in B(v)$ parabolic set

$K_0(R)$ is a twisted bialgebra:

$$R(v) \otimes R(v') \simeq R(v+v')$$

(non-unit!;

$1 \otimes 1 \mapsto 1_{v+v}$ identity)



\Rightarrow induction & restriction functors

Ind takes projective to projectives

$$K_0(R(v)) \otimes_{\mathbb{Z}[q, q^{-1}]} K_0(R(v')) \begin{matrix} \xrightarrow{[\text{Ind}]} \\ \xleftarrow{[\text{Res}]} \end{matrix} K_0(R(v+v))$$

$\gamma: f_{\mathcal{A}} \rightarrow K_0(R)$ map of twisted bicyclics

$\gamma: \theta_i = \theta_1, \dots, \theta_m \mapsto \underline{P}_i$ correspondingly project

For twisted powers need to act by a suitable idempotent on \underline{P}_i to get a smaller module.

Relations: $\theta_i \theta_j = \theta_j \theta_i$ \vdots \vdots \vdots

$$P_{\dots i j \dots} \Rightarrow P_{\dots j i \dots}$$

via multiplication by $\begin{matrix} X \\ \vdots \\ \vdots \\ \vdots \end{matrix}$

inverse given by $\begin{matrix} X \\ \vdots \\ \vdots \\ \vdots \end{matrix}$ (composition $\begin{matrix} X \\ \vdots \\ \vdots \\ \vdots \end{matrix} = 11 = id$)

$$\bullet \theta_i \theta_j \theta_k = \theta_i^{(2)} \theta_j + \theta_i \theta_j^{(2)} \quad \vdots \quad \vdots$$

look carefully at $\begin{matrix} X \\ \vdots \\ \vdots \\ \vdots \end{matrix} - \begin{matrix} X \\ \vdots \\ \vdots \\ \vdots \end{matrix} = 111$

\dots find $\begin{matrix} X \\ \vdots \\ \vdots \\ \vdots \end{matrix}$ is an idempotent

\leadsto find idempotents in $P_{\dots i; i \dots}$
 projecting to $P_{\dots; i; \dots}$ and $P_{\dots; i; (2) \dots}$

$\gamma: f_{\mathcal{L}} \rightarrow K_0(R)$ is injective since
 it takes the bilinear form on $f_{\mathcal{L}}$ to
 form on K_0 $([P], [Q]) = \text{grth} (P^{\vee} \otimes Q)_{R(v)}$
 $\psi =$ reflector of diagram top \leftrightarrow bottom
 \dots in particular $\underline{R(v)}_{\underline{i}} = \underline{P}_j^{\vee} \otimes \underline{P}_i$

σ is surjective: use Kleckler-Gränski-Verzari
 machinery \dots work in basis of simples
 \hookrightarrow ind/res functors on them \dots scale
 of restriction is irreducible \leadsto crystal
 graph structure on simples.
(Ch. 5 of Kleckler book)

- Generalize to nonsimply laced case:
 $i, j \in \{2, 4, \dots\} \leadsto$ charge degrees \leftrightarrow dots

[relations $f \mapsto (X_{\epsilon}^+ + X_{-\epsilon}^-) f$
set poles ...]

• add more parameters to theory in non-simply
laced case.

This works over any field $k \Rightarrow$

basis of \mathcal{F}_k corresponding to projectives

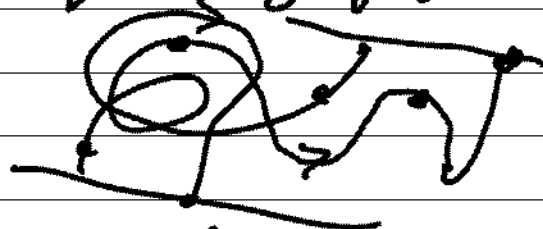
$[P_b]$, which shall generalize Lusztig
canonical basis (corresponding to

$k = \mathbb{C}$)

then $(P_b, P_b) = k \oplus$ higher deg terms.
deg 0

Expect categorification of full quantum group U
by looking at oriented strands

not just strands from
bottom to top



(A. Lauda for $U(\mathfrak{sl}_2)$)

Bijection : $\mathbb{R}^G \sim | \sim \mathbb{R}^G$

Dets \leftrightarrow generators of $H^k(\mathbb{R}^G)$