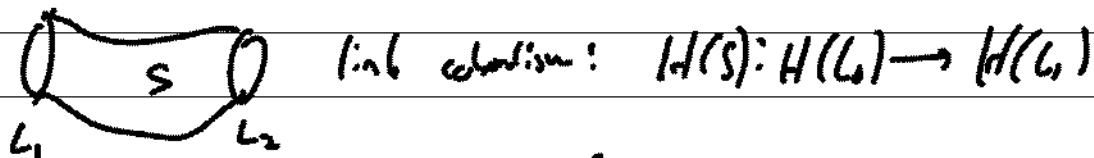


# Mikhail Khovanov - Link Homology & Categorification

Note Title

1/16/2008

Link homology:  $L \subset S^3 \rightsquigarrow H(L)$



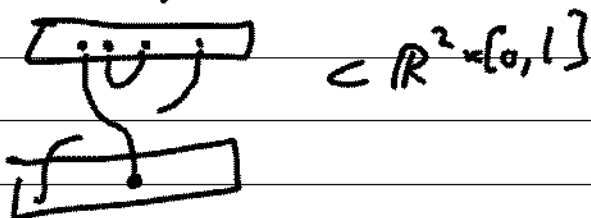
... simple version of 4d TQFT:  
only see cobordisms  $S \subset S^3 \times [0,1]$ .

Extend to tangles:

2-category of tangle cobordisms

objects:  $n$ -tuples of points in plane

1-morphisms: tangles



2-morphisms: tangle cobordisms

$S \subset \mathbb{R}^2 \times [0,1]^2$

presented body



Consider 2-functors

$n$ -tangles




$\mathcal{C}_n =$  complexes of modules  
over a ring  $H^n$

cobordisms



functors

[everything oriented]

Case of trivial link  $\bigcirc \bigcirc \bigcirc$   
 with symplectic cobordisms  $\bigcirc$   etc  
 give 2d TQFT  $F$

$F: \emptyset \rightsquigarrow R$  commutative ring

$F: \bigcirc \rightsquigarrow A$  free  $R$ -module, which inherits  
 structure of a commutative Frobenius  $R$ -algebra  
 $A \cong A^* = \text{Hom}_R(A, R)$

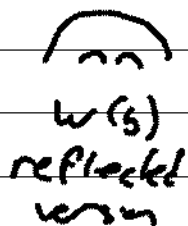
Let  $B^n =$  crossingless matchings of  $2n$  points



$n$  disjoint arcs in bottom  
 half plane

$$|B^n| = \frac{1}{n+1} \binom{2n}{n}$$

Given  $a, b \in B^n$



$\Rightarrow$  glue to get closed 1-manifold

$w(b) a$



$$\Rightarrow F(w(b)a) \cong A^{\otimes k} \quad k = \# \text{ cycles}$$

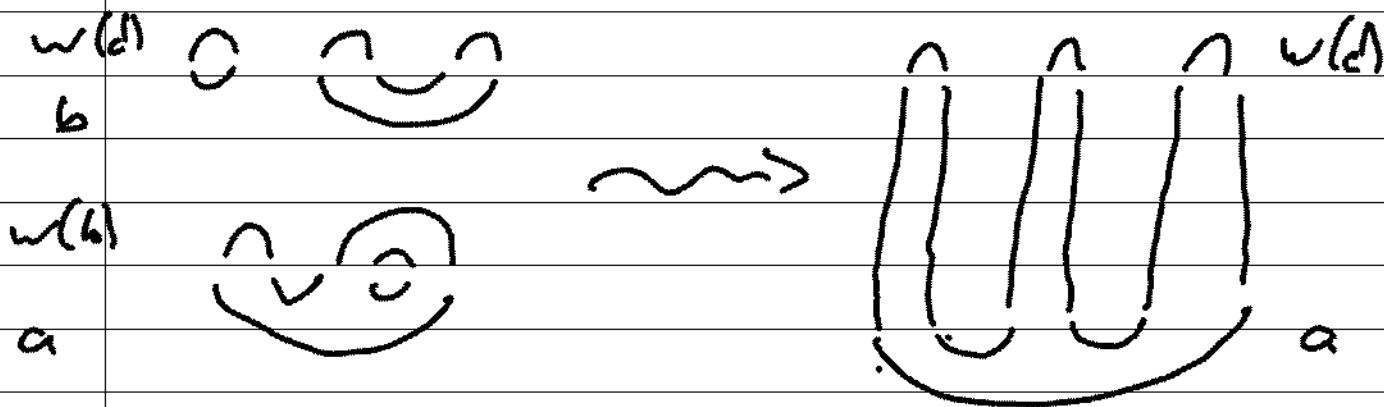
Let  $H^n = \bigoplus_{a,b \in B^n} F(w(b)a)$

Claim:  $H^n$  is an associative unital

$R$ -algebra: product

$F(w(d)c) \otimes F(w(b)a)$  prod-d is zero if  $c \neq b$

$F(w(d)b) \otimes F(w(b)a) \rightarrow F(w(d)a)$



Apply cobordism made of  $n$  cobordisms of form



$\rightarrow$  gives



morphism from input to output as desired.

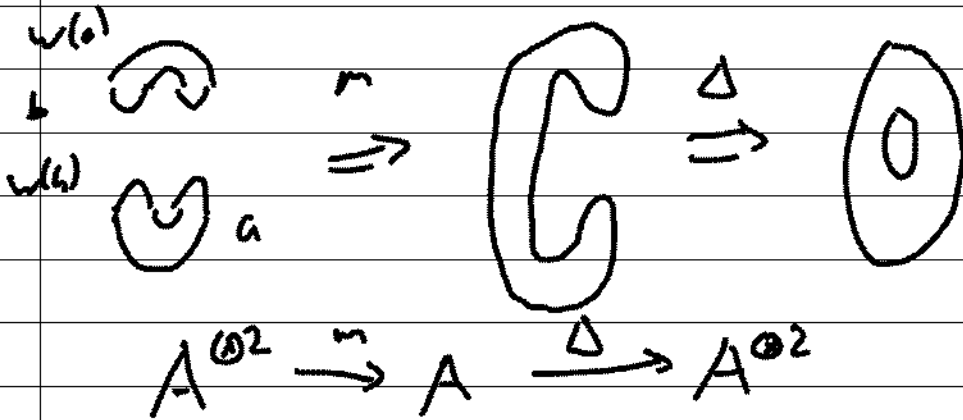
Associativity: cobordisms we get are diffeomorphic.

$$a \in B^n \quad F(W(a|a)) \simeq A^{\otimes n} \supset 1^{\otimes n} =: 1_a$$



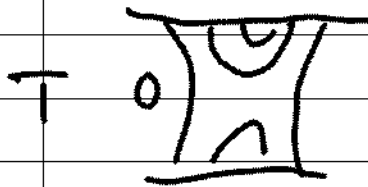
$$x \in F(W(b|a))$$

$$\Rightarrow x 1_a = x, \text{ etc.}$$

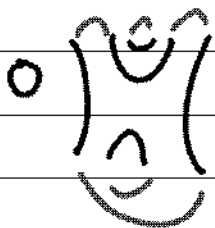


Given  $H^n \rightarrow$  produce invariants of flat tangles & their cobordisms: flat tangles lie in plane

(proper embedding of  $n$ -manifold into  $\mathbb{R} \times [0, \beta]$ ) require endpoints



to T assign  $(H^m, H^m)$ -bimodule



$$F(T) = \bigoplus_{\substack{a \in B^n \\ b \in B^m}} F(W(b|T_a))$$

Sum over all ways to close up  $T$  with  $a \in \mathcal{B}^n$ ,  
 $b \in \mathcal{B}^n$  get bimodule

$$F(w(b)T_a) \otimes F(v(a)c) \rightarrow F(v(b)T_c)$$

etc.

eg  $T = \parallel \parallel \parallel \parallel \quad F(T) = H^n$

$$a \in \mathcal{B}^n \rightsquigarrow P_a = F(c) \text{ left } H^n\text{-module}$$
$$= \bigoplus_b F(v(b)c)$$

projective  $H^n$ -module.

$$H^n = \bigoplus_{a \in \mathcal{B}^n} P_a \quad : \text{ complete list of indecomposable } H^n\text{-modules.}$$

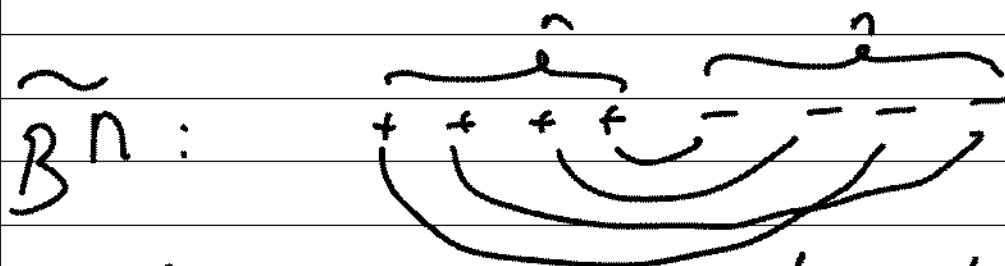
Composition of tangles  $\Rightarrow$  tensor of bimodules  
cobordism of tangles  $\Rightarrow$  maps of  $S$ -modules  
...

$\Rightarrow F$  2-functor from tangle cobordisms  
to the 2-category of bimodule homomorphisms  
objects = rings 1-morphisms = bimodules ...

This invariant cares about the topology of the cobordisms but not their knotted embeddings in  $\mathbb{R}^3 \dots$

Different picture: forget about  $\mathbb{R}^2, \mathbb{R}^3$  & embeddings!  
work with oriented 1-manifolds with boundary & 2-manifolds with corners

$H^n \rightsquigarrow$  bigger version: look at all oriented arcs relating two oriented 0-manifolds



look at all complexes,  $n!$  such

$\Rightarrow \tilde{H}^n$  bigger rms.

$\rightarrow$  minimal extension of a 2d TQFT

$(R, A)$  to a 2d TQFT with corners (extended TQFT)

... restrict to 2D manifolds with same number of  $+$  &  $-$  :

2-category :

balanced oriented 0-manifolds

oriented cobordisms

cobordisms of cobordisms

---

ring  $\mathbb{Z}$

3d : bimodules

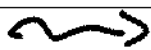


4d : complexes of bimodules

3d TQFTs can be interesting with semisimple or abelian categories

4d TQFTs : expect to need triangulated categories.

closed surface



triangulated category

3d cobordism



exact functor

4d cobordism

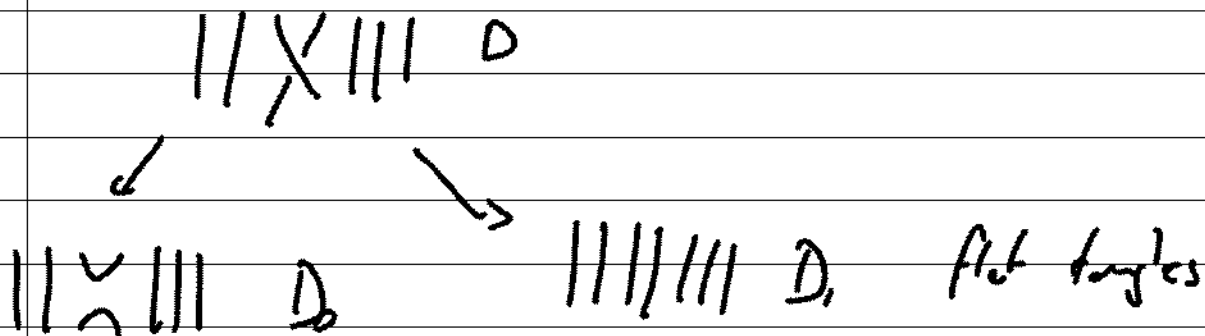


natural transformation of functors

Why?  $S$  surface, diff  $S \hookrightarrow$  category  $\mathcal{C}(S)$

If  $S$  semisimple  $\Rightarrow$   $MCG(S) \rightarrow$  permutations of simple objects, no interesting stuff...

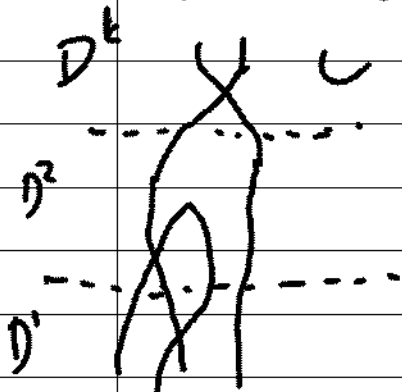
Tangle  $T \rightsquigarrow$  complex of (un) bimodules:  
 write plane projection of  $T$



Have a saddle point cobordism  $D_0 \xrightarrow{S} D_1$   
 So can assign to  $D$  the complex

$$F(D_0) \xrightarrow{F(S)} F(D_1)$$

To an arbitrary  $D$ , decompose into diagrams  
 with at most one crossing



$$\rightsquigarrow F(D) = F(D^k) \underset{H}{\otimes} \dots \otimes F(D^1)$$

Need to check if this is independent  
 of the decomposition up to quasisim.

$$\rightsquigarrow \text{need } A/R \cong R \text{ as } R\text{-module}$$



$$\begin{array}{ccc}
 | \mathcal{Q} | & \longleftrightarrow & | \cap | \\
 \swarrow & & \searrow \\
 | \mathcal{O} | & & | \Omega | \\
 \mathcal{O}_b & & \mathcal{O}_1
 \end{array}
 \quad \text{and} \quad
 \begin{array}{ccc}
 F(\mathcal{O}_1) \otimes_R A & \longrightarrow & F(\mathcal{O}_1) \\
 \cup & & \parallel \\
 F(\mathcal{O}_1) \otimes_R R & \xrightarrow{\sim} & F(\mathcal{O}_1)
 \end{array}$$

$$\cong F(\mathcal{O}_1) \otimes_R A/R \cong F(\mathcal{O}_1)$$

— So can ask  $A = R \cdot 1 \oplus R \cdot X$

get invariance under  $\mathcal{Q}_1 \longleftrightarrow \cap$  :

get  $F(\mathcal{O}_1)$  an invariant of underlying target only.

Two interesting cases

$$1. R = \mathbb{Z} = H^*(\cdot, \mathbb{Z}) \quad A = \mathbb{Z}[x]/x^2 = H^*(S^2, \mathbb{Z})$$

$$2. R = \mathbb{Q}[t] = H_{SU_2}^*(\cdot, \mathbb{Q})$$

$$A = \mathbb{Q}[x]/x^2 = t = H_{SU_2}^*(S^2, \mathbb{Q})$$

$R, A$  are graded  $\deg x = 2 \quad \deg t = 4.$

$\rightsquigarrow$  note all rings  $H^*$  graded,  $F(S)$  homogeneous

...  $F(D)$  complex of graded  $(m, n)$ -bimodules

Construction extends to tangle cobordisms in  $\mathbb{R}^4$ :

Encode diagrammatically in standard moves

(Reidemeister, creation & annihilation of a circle

$\cdot \hookrightarrow \circ$ , saddle point)  $(\hookrightarrow \cup)$

$\cdot \rightarrow \circ$  mit  $\circ \rightarrow \cdot$  trace

Theorem  $\pm F(S)$  is an invariant of  $S$

... invariance under move moves (18 such)

Braid  $D \rightsquigarrow F(D)$  is an invertible complex

$$F(D^{-1}) \otimes F(D) \simeq H^n$$

$$\text{Hom}(F(D), F(D)) \simeq \text{Hom}(H^n, H^n) = \mathbb{Z}(H^n)$$

Degree zero part is only  $\pm 1 \dots$

So Reidemeister move does give invariance up to sign.

$\mathbb{Z}(H^n) \simeq H^*(\text{Springer fiber for partition } (n, n))$

... irreducible components are all projectives of  $S^2$ 's, labeled by  $B^n$ .

(Scott Morrison, Kevin Walker: get rid of signs  
by paying careful attention to orientations)

If our tangle is a link  $L$

$\Rightarrow F(L)$  is just a complex of graded abelian groups  
char polynomial gives the Jones polynomial!

$$q^2 J(\text{crossing}) - q^{-2} J(\text{crossing}) = (q - q^{-1}) J(\text{split})$$

$$J(\emptyset) = q + q^{-1}$$

$$J(L) = \chi(F(L))$$