

# Kevin McGerty - Hall Algebras & Quantum Frobenius

Note Title

4/15/2008

1. Modified Quantum Groups
2. Quantum Frobenius
3. Hall algebras
4. connect 2 & 3

A root datum is a pair  $(X, \gamma)$  of free abelian groups  
 $\langle \cdot, \cdot \rangle : \gamma \times X \rightarrow \mathbb{Z}$  perfect pairing &  
simple roots  $\{\alpha_i\} \subset X$   $\{\alpha_i^\vee\} \subset \gamma$  coroots  
( $i \in I$ ), a symmetric pairing  $\cdot, \cdot : \mathbb{Z}^I \times \mathbb{Z}^I \rightarrow \mathbb{Z}$   
such that  $\frac{2\langle \alpha_i^\vee, \alpha_j \rangle}{\langle \alpha_i^\vee, \alpha_i \rangle} = \langle \alpha_i^\vee, \alpha_j \rangle$  a generalized  
Cartan matrix  $(a_{ij})$

$\Rightarrow$  quantum group  $U$ , an algebra over  $\mathbb{Q}(v)$ ,  
generated by  $\{E_i, F_i, K_\mu : i \in I, \mu \in \gamma\}$   
& subject to a "quantized Serre presentation"

eg. 
$$\sum_{s \geq 0} (-1)^s E_i^{(s)} E_j E_i^{(1-a_{ij}-s)} = 0$$

where  $E_i^{(s)} = E_i^s / [s]_i!$ ,

$$[s]_i! = [s]_i [s-1]_i \dots [1]_i$$

$$[k]_i = \frac{v_i^k - v_i^{-k}}{v_i - v_i^{-1}} \quad v_i = v^{\frac{1}{2} \langle \alpha_i^\vee, \alpha_i^\vee \rangle}$$

Work with a modified form of  $U$ :

Let  $\text{Mod}_X$  be the category of reps of  $U$  with a weight decomposition,  $V = \bigoplus V_\lambda$

$$V_\lambda = \{ \omega \in V \mid K_\mu \omega = \nu^{\langle \mu, \lambda \rangle} \omega \}$$

Let  $\hat{U} = \text{End}(\text{Forget} : \text{Mod}_X \rightarrow \text{Vect})$

Inside  $\hat{U}$  have projection operations - project to  $\lambda$ -weight space.

$$\text{Set } \hat{u} = \bigoplus_{\lambda \in X} U \cdot I_\lambda = \hat{U}, \text{ a } \hat{U}(V)\text{-subalgebra of } \hat{U}.$$

- nonunital algebra but have lots of idempotents;  
can look at unital modules for  $\hat{u}$ , get  $\text{Mod}_X$ .

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Integral forms: If  $U^+ = \langle E_i \rangle \subset U$ ,

define an  $\mathcal{A} = \mathbb{Z}[u, v^{-1}]$  form (Lusztig)

$U_{\mathcal{A}}^+ = \mathcal{A}$ -algebra inside  $U^+$  generated by  
 $\{ E_i^{(n)} : i \in I, n \geq 1 \}$ .

$U_{\mathcal{A}} =$  the  $\mathcal{A}$ -subalgebra of  $U$  generated by  
 $\{E_i^{(a)} |_{\lambda}, F_i^{(a)} |_{\lambda} : i \in I, \lambda \in \Lambda, a \geq 0\}$

Theorem  $U_{\mathcal{A}}$  is an integral form of  $U$ .

Remark  $U_{\mathcal{A}}$  has a canonical basis  $\dot{B}$  which  
is a  $\mathbb{Q}(v)$  basis of  $U$

- yields canonical bases of tensor products  
(highest weight modules  $V$ )  $\otimes$  (lowest weight module  $W$ )  
when this makes sense

- if  $B$  is the canonical basis of  $U^{\vee} \Rightarrow$   
 $b |_{\lambda} \in \dot{B} \quad \forall b \in B.$

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Roots of unity: Let  $A_{\ell} = \mathcal{A} / (\Phi_{2\ell}(v))$   
where  $\Phi_{2\ell}$  is the  $2\ell$ -th cyclotomic polynomial

Can specialize  $U_{\mathcal{A}}$  to  $A_{\ell}$ , write  $U_{\ell} = A_{\ell} \otimes_{\mathcal{A}} U$

" $U_q$  behaves like an algebraic group in characteristic  $l$ " : if  $l$  is prime, we may base change  $U_q$  to a field of characteristic  $l$  sending  $v_i \rightarrow 1$  & the resulting algebra is a modified form of the hyperalgebra of the associated group (root datum of finite type)

(Hyperalgebra = distributions supported at the identity)

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Quantum Frobenius : The Frobenius on the hyperalgebra lifts to  $U_q$  as follows :

(assume  $l$  coprime to all  $\{\frac{1}{2}(i+1), i \in I\}$ )

Theorem (Lusztig) There is a surjective algebra map

$$Fr_q: U_q \longrightarrow U_1 \otimes_{\mathbb{Z}} \mathbb{Z}[q, q^{-1}], \text{ characterized}$$

$$\text{by } Fr_q(E_i^{(n)} |_{\lambda}) = \begin{cases} E_i^{(n/l)} |_{\lambda} & \text{if } l|n \\ 0 & \text{otherwise} \end{cases}$$

(same for  $F_i^{(n)} |_{\lambda}$ )

Remark  $U$  is a bimodule for  $U^+$ ,  $U^-$

$L$  can be defined in terms of these actions.

So if you know the existence of an analogue of  $F_r$  on  $U^+$  it is straightforward to "extend" to  $U$ .

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### Hall algebras

Let  $Q = (J, H)$  be a quiver, vertices  $J$  / edges  $H$ .

Orientation  $\Omega \iff H \xrightarrow[\pm]{S} J$

• Automorphism  $\alpha$  of the oriented quiver

st. if  $h \in H \implies s(h), t(h)$  lie in different  $\alpha$ -orbits of  $J$

$J := \text{set of } \alpha\text{-orbits}$

$\mathcal{C} = \text{category of reps of } Q \text{ with objects } V :$

$J$ -graded vector spaces  $\overline{\mathbb{F}_q} + \text{Frobenius map}$

$\bar{F}, F(V_i) = V_{\alpha(i)}$  giving an  $\mathbb{F}_q$ -structure.

$V \in \text{Ob } \mathcal{C}$ , set  $E_V = \bigoplus_{h \in I} \text{Hom}(V_{S(h)}, V_{T(h)})$

$$G_V = \prod_{i \in I} GL(V_i)$$

$\mathcal{H}_V = \mathbb{Z}$ -valued  $G_V^F$ -invariant functions on  $E_V^F$

— depends only on graded dimension  $v$  of  $V$

Let  $\mathcal{H} = \bigoplus_{v \in NI} \mathcal{H}_v \Rightarrow$  an algebra via convolution

$$f * g(x) = \sum_{\substack{w \subset v \\ x(w) \subset w}} f(x|_w) g(x|_{v/w})$$

• Need to twist multiplication by a cocycle

$$m: \mathbb{Z}J \times \mathbb{Z}J \rightarrow \mathbb{Z}$$

$$m(v, \mu) = \sum_{i \in J} v_i \mu_i + \sum_{h \in I} V_{S(h)} \nu_{T(h)}$$

then twist  $(\mathcal{H}, *)$  by  $q^{-\frac{1}{2} m(v, \mu)}$

ie  $f * g(x) = q^{-\frac{1}{2} m(v, \mu)} f * g$  [need to introduce  $q^{\frac{1}{2}}$ ]

- Take the subalgebra  $\mathcal{E} \subset \mathcal{H}$  generated by  $\{1_{n_i} \mid n_i \in \mathbb{N} \text{ is } \downarrow\}$  constant function on  $E_V^F$  where  $\dim V = n_i \in \mathbb{N} \downarrow$

Can attach to  $(Q, a)$  a Cartan datum  $(\mathbb{Z}I, \bullet)$  where  $i \cdot j = -\#\{\text{edges } h: s(h) = i, t(h) = j\}$   $i \neq j$   
 $i \cdot i = 2 \cdot \#\text{vertices in orbit } i$

eg  $a \begin{matrix} \uparrow \\ \cdot \\ \downarrow \end{matrix} \begin{matrix} \rightarrow \\ \cdot \\ \rightarrow \end{matrix} \rightsquigarrow \begin{pmatrix} 4 & -2 \\ -2 & 2 \end{pmatrix} \rightsquigarrow B_2$

Theorem (Lusztig; Ringel for finite type)  
 Green

Let  $U_{\mathcal{E}}^+$  be the positive half of  $U$  attached to

$(\mathbb{Z}, \bullet)$ . Then  $U_{\mathcal{E}}^+ / \text{ver } \mathcal{E} \xrightarrow{\sim} \mathcal{E}$

where  $E_i^{(n)} \mapsto 1_{n_i}$ .

## Constructing the quantum Frobenius

Use the Hall algebra construction for  $\mathbb{F}_q$  &  $\mathbb{F}_{q^2}$

Let  $\mathcal{C}$  be the Hall algebra wrt  $\mathbb{F}_q$

$\mathcal{C}_2$  " " " " "  $\mathbb{F}_{q^2}$

If  $V$  is a vector space in the  $\mathbb{F}_{q^2}$ -version

$\Rightarrow$  can forget  $\mathbb{F}_{q^2}$ -structure to get a vector space in the  $\mathbb{F}_q$  version, say  $W$ .

Then there is a map  $E_V \hookrightarrow E_W$

$\Rightarrow$  on Hall algebras/composition algebras get

a restriction map  $i^* : \mathcal{C} \rightarrow \mathcal{C}_2$

not an algebra map: counting  $\mathbb{F}_q$  subspaces in  $\mathcal{C}$  case &  $\mathbb{F}_{q^2}$  subspaces in the  $\mathcal{C}_2$  case.

To note the discrepancy disappears, use  $\mathbb{F}_{q^2}^*$ :



if  $x \in E_v \hookrightarrow E_w$  : embedding is described completely as taking fixed points for the action:

& if  $\theta \in \mathcal{C}$ ,  $\theta(x)$  is some count of subspaces in  $V$  &  $\mathbb{F}_q^*$  acts on this set:

either a subspace is fixed (then we want to count) or its orbit has size  $\frac{q^d-1}{q^d-1}$ , in particular divisible by  $|\mathbb{F}_q^*|$ .

$\Rightarrow$  chase coefficients: mod out by  $\mathbb{F}_q(\zeta)$ , get an algebra map

$$i^*: \mathcal{C} \text{ mod } \mathbb{F}_q(\zeta) \rightarrow \mathcal{C}_q \text{ mod } \mathbb{F}_q(\zeta)$$

which (checking signs & twists) yields the quantum Frobenius: doing what we wanted on generators!

- exists mod infinitely many primes  $\Rightarrow$  exists over the cyclotomic integers themselves!

Questions Lift to the level of debras?

- replace the action of  $\overline{F}_2 / F_2$  with the action of a cyclic group