

# O. Schiffman - Finite dimensional representations of DAHA (d'après Varagnolo-Vasserot)

Note Title

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I. Classification of (spherical) fin dim reps of DAHA (known before in type A: Berest-Etingof-Ginzburg)

II. (Conjectural) "L-packet phenomenon" combinatorics for DAHA fits combinatorics (Reeder) of  $p$ -adic group representations (densely verified)

Plan I. - algebraic description of DAHA  
- geometric construction of  $\text{Irr}(\mathcal{O}_h)$  using  $K$ -theory of affine Springer fibers  
- sketch of proof of main theorem

II - statement

I. DAHA etc

$G_0$  connected & simply connected  
reductive /  $\mathbb{C}$   $G_0 \supset B \supset T_0$

Root datum  $(X_0, \Lambda_0, Y_0, \Delta^v)$

$X_0 = \text{Hom}(T_0, \mathbb{C}^\times)$

$Y_0 = \text{Hom}(\mathbb{C}^\times, T_0)$

$\Delta_0$  - root system

$\Delta^v$  - coroot system

$\Pi_0$  - simple roots

$W_0$  - Weyl group of  $G_0 = \{s_\alpha, \alpha \in \Pi_0\}$

$$\Delta = \underbrace{\Delta_0 = \mathbb{Z}\Sigma}_{\Delta \text{ real roots}} \cup \underbrace{\{0\} \times \mathbb{Z}\Sigma}_{\Delta^{\text{im}} \text{ imaginary}}$$

$W = W_0 \ltimes \gamma_0$  acts on  $X_0 \otimes \mathbb{Z}\Sigma$

Def  $\mathbb{C}_{q,t} = \mathbb{C}[q^{\pm 1}, t^{\pm 1}]$   $q$ : modular parameter

Double Affine Hecke Algebra  $\underline{H}$ :

generators  $\{t_w, w \in W\} \cup \{x_\lambda, \lambda \in X_0 \otimes \mathbb{Z}\Sigma\}$

relations:  $\{t_w\}$  generate affine Hecke algebra of  $W$

•  $X_\Sigma = \mathbb{Z}$        $x_\lambda x_\mu = x_{\lambda+\mu}$

•  $x_\lambda t_{s_\alpha} - t_{s_\alpha} x_\lambda - \langle \alpha, \lambda \rangle x_\lambda =$

$$(t - t^{-1}) x_\lambda (1 + x_{-\alpha} + \dots + x_{-\alpha}^{\langle \lambda, \alpha \rangle - 1})$$

$$\alpha \in \Pi = \Pi_0 \cup \{0\}$$

if  $\langle \lambda, \alpha \rangle \geq 0$

- contains two affine Hecke algebras

$$H_X = \langle t_w, w \in W_0 \text{ \& \ } x_\lambda, \lambda \in X_0 \rangle$$

$$\& H_\gamma = \langle t_w, w \in W \rangle$$

- for  $G_0$  &  $G_0^\vee$ .

Notation for torus:  $T_0 = \text{torus for } G_0$

$$T_0 \times \mathbb{C}^* = T_d \quad , \quad \chi^*(\mathbb{C}^*) = \chi_0 \oplus \mathbb{Z}^r$$

$H = T_d \times \mathbb{C}^*$  : an element of  $H$  is

$$(s, \tau, \xi)$$

$T_0^r \times \mathbb{C}^{*r} \in \mathbb{C}^*$ , gives parameters.

Weights If  $M$  is an  $H$ -module &  $h \in H$

$$\Rightarrow M_h = \{z \in M : \forall \lambda, (\chi_h - \lambda(h))^r \cdot z = 0 \text{ for } r \gg 0\}$$

Category  $\mathcal{O}$ :

$$\mathcal{O}_h(\underline{H}) = \{M \mid \text{fin. gen., } \mathbb{C}[\chi_0] \text{-locally finite}$$

"  
(s, z, \xi)

$q \mapsto \tau^{-1}$ ,  $t \mapsto \xi$  act  
as scalars, &  $M_{h'} = 0$  if  $h' \notin W \cdot h$

$\mathcal{O}(\underline{H}) = \bigoplus_h \mathcal{O}_h(\underline{H})$  block decomposition

& each block  $\mathcal{O}_h(\underline{H})$  is a finite length category.

Problem: Classify  $\text{Irr}(\mathcal{O}_h(\underline{H}))$  ( $\checkmark$ )  
& finite dimensional irreducibles (?)

From now on: fix  $\tau, \xi$  not roots of unity  
& assume  $\xi = \tau^{c/2}$  with  $c$  rational  $c = \frac{k}{m}$   
( $m > 0$ ,  $k, m$  rel. prime) --- this  
number  $c = \frac{k}{m}$  controls the representation theory!

Polynomial reps  $\mathbb{C} =$  "trivial" rep of  $H_x$   
( $x_i \mapsto t^{2(\lambda_i \rho_i)}$   $t/s_i \mapsto t$ .)

$$P_y = \frac{H}{H_x} \otimes \mathbb{C} \cong \mathbb{C}_{\xi, t}[y_i]$$

$$P_x = \frac{H}{H_y} \otimes \mathbb{C} \cong \mathbb{C}_{\xi, t}[x_i]$$

Def An  $\underline{H}$ -module  $M$  is spherical if  
 $P_Y \rightarrow M$  ( $\gamma$ -spherical if  $P_X \rightarrow M$ )

Specialize:  $q \mapsto \tau^\gamma$      $t \mapsto \xi = \tau^{c/2}$

$\Rightarrow P_{Y,c} \in \mathcal{O}_{h_c}(\underline{H}_c)$  ( $P_{X,c}$  not in category  $\mathcal{O}$ )

Problem: Classify spherical  $\underline{H}_c$ -modules which  
are finite dimensional

--- not achieved geometrically...

What is achieved in this paper: geometric classification  
of spherical  $\underline{H}_c$ -modules which come from  
the rational Cherednik algebra (rational DAHA)

-- nilpotent reps of rational DAHA

$\longleftrightarrow$   
unipotent reps of degenerate DAHA

all irreps of degenerate DAHA

$\longleftrightarrow$   
all irreps of DAHA

$H'$  degenerate DAHA: are affine Hecke  $\rightsquigarrow$   
group algebra of  $W$

$H''$  rational DAHA  $[m, n, \langle [w_0] \times \langle [X] \times \langle [Z] \rangle]$

One class  $\mathcal{O}_h$ , spherical etc for  $H', H''$

Equivaleves  $\mathcal{O}^{\text{rat}}(H'') \stackrel{\text{exponat.}}{\simeq} \text{Juri}(H')$

$\mathcal{O}(H') \simeq \mathcal{O}(H)$  (like Lusztig, for AHA)

Theorem (Ginzburg-Ginzburg-Opdam-Rouquier, Dunkl-Opdam, Berest-Etingof-Ginzburg, Dunkl)

Fix  $c$  as above  $= \frac{k}{m}$

i)  $P_c''$  has a unique simple quotient  $L_c''$

ii)  $L_c'' = P_c''$  if  $k > 0$

iii)  $L_c''$  is also a quotient of  $P_X''$

[have inclusion  $X \hookrightarrow Y$  and fixing simple reps]

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So restricty to reps coming from  $H'' \iff$   
restricty to reps which are quotients of  
both  $X$  &  $Y$  polynomial reps.

Corollary Fix  $c \Rightarrow \exists$  at most one  
 f.d spherical  $H_c$ -rep coming from  $H_c^{\text{reg}}$ .  
 If it exists,  $L_c \leftarrow P_X$  quotient of  $P_X$ .

[spherical ... gen by 1-dim finite (Hecke rep. ...)]

Problem Determine when is this  $L_c$  finite dimensional?

Statement of main theorem  $c = \frac{k}{m}$

Theorem  $L_c$  is finite dimensional  
 iff  $\begin{cases} k < 0 \\ c \text{ is an elliptic number of } W_0. \end{cases}$

Def  $\rho: W_0 \rightarrow \text{End } \mathbb{C}$  reflection representation  
 $w \in W_0$  is regular if it has a regular  
 eigenvector, & elliptic if  $1 \notin \text{spec}(w)$   
 $\Leftrightarrow w \notin$  any proper parabolic subgroup

A regular number is the order of a regular element  
 An elliptic " " " " " " elliptic "

e.g. Coxeter element is elliptic  $\Rightarrow$   
 Coxeter ~~is~~ is always an elliptic  $\neq$ .  
 Type A: this is the only elliptic number.

### Sketch of proof

- Geometric construction of all  $\text{Irr}(\mathcal{O}_h(H_c))$
- $P_{X,c}$  is a "standard" module with a simple top
- Determine when this top is finite dim.

## II. Geometry & Springer fibers

$$G = G_0(\mathbb{C}) \quad , \quad \hat{G} = \text{Kac-Moody group}$$

$$1 \rightarrow \mathbb{C}^* \rightarrow \hat{G} \rightarrow G \rightarrow 1$$

$$\tilde{G} = \hat{G} \rtimes \mathbb{C}^* \quad \text{loop rotation}$$

(Kazhdan-Lusztig results)

$$\text{Nilpotent core: } N = \{ \text{top. nilpotent elts in } \tilde{\mathfrak{g}} \}$$

$$= \{ x \in \tilde{\mathfrak{g}} : \text{ad}_x^N \rightarrow 0 \text{ in cyclic topology} \}$$

$$\text{Fix } \mathfrak{b}_0, \quad \mathfrak{b} = \text{Iwahori} = \mathfrak{b}_0 \oplus \varepsilon \mathfrak{g}[\pi\varepsilon]$$

$\hookrightarrow \mathcal{U} = \mathfrak{N}_0 \oplus \mathfrak{E} \text{ of } [\mathfrak{E}] \text{ radical}$

$$\Rightarrow \mathcal{N} = \text{Ad } \tilde{G} \cdot \mathcal{U}$$

e.g.  $\mathfrak{E} \in [\mathfrak{E}] \subset \mathcal{N}$ ,  $\mathfrak{N}_0[\mathfrak{E}, \mathfrak{E}^{-1}] \subset \mathcal{N}$ .

An element  $x \in \mathfrak{g}$  is regular semisimple if its centralizer  $\tilde{G}_x$  is a maximal torus over  $\mathbb{C}(\!(\mathfrak{E})\!)$

An element  $x \in \mathfrak{g}$  is elliptic reg ss if it is

- topologically nilpotent

- regular semisimple

-  $Z_{\tilde{G}}(x)$  is an anisotropic torus: no cocharacters

$$\chi_x(Z_{\tilde{G}}(x)) = 0$$

Fix  $c = \frac{k}{m}$   $h_c = (\rho^c(\tau^c), \tau, \bar{\tau}^{c/2}) \in H$

There is an action of  $\tilde{G}_x \mathbb{C}^*$  on  $\mathfrak{g}$  by

$$\tilde{g} = (g, \bar{c}, f) : \tilde{g} \cdot x = \xi^{-2} (\text{ad } g \circ F_{\bar{c}} \cdot x)$$

$F_{\bar{c}} a(\mathfrak{E}) = a(\tau \mathfrak{E})$  loop rotation

Fact :  $\sigma_{RS}^{hc} = \emptyset$  iff  $m$  is a regular value

(K-L)  $\sigma_{ELL.RS}^{hc} \neq \emptyset$  iff  $m$  is an elliptic number  
&  $k > 0$

- have fixed points for  $h_c \iff$  properties of  $m$ ,

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Affine Flag Variety

$B = \{ \text{Iwahori subalgebras of } \tilde{\mathfrak{g}} \} = \tilde{G} \cdot b$   
(can view inside Kashiwara Flag variety)

$$\dot{N} = \{ (x, b') \in \tilde{\mathfrak{g}} \times B : x \in b', x \in \mathcal{N} \}$$

$$= \tilde{G} \times_B \mathcal{U}$$

$$\pi : \dot{N} \rightarrow \mathcal{N} \quad (\text{K-L})$$

$\exists$  a natural action of  $\tilde{G} \times \mathbb{C}^*$  on  $\dot{N}$

$$\tilde{T} = \overset{0}{\tilde{T}_0} \times \underset{\substack{\mathcal{U} \\ \mathbb{Z}}}{\mathbb{C}_T^*} \times \underset{\substack{\mathcal{U} \\ \mathbb{Z}}}{\mathbb{C}_{\omega_0}^*} \times \mathbb{C}^*$$

Fix  $h \in \bar{T}$

Notation  $\tilde{G}^h = Z_{\tilde{G}}(h)$ ,  $\tilde{\alpha}^h$  same for Lie of

Lemma i)  $\tilde{G}^h$  is finite dimensional, connected, reductive

ii)  $\tilde{\alpha}^h$  is finite dimensional

Root system of  $\tilde{G}^h, \bar{T}$ :

$\Delta_h = \{ \alpha \in \Delta : \alpha(s, \tau) = 1 \}$  is finite

For  $\tilde{\alpha}^h$ :  $\{ \alpha \in \Delta \mid \alpha(s_i) = \pm 2 \}$ , finite.

Facts (K-L) -  $\mathcal{N}^h$  is finite dimensional

-  $\mathcal{B}^h$  is smooth, locally of finite type

$$\mathcal{B}^h = \bigcup_w \mathcal{B}_w^h \quad \text{smooth finite pieces}$$

where  $\mathcal{B}_w^h =$  component containing standard Invariant:  $\mathcal{B}_w$ .

-  $\dot{\mathcal{N}}^h$  is smooth, locally of finite type

$$\dot{\mathcal{N}}^h = \bigcup_w \dot{\mathcal{N}}_w^h$$

- $\pi^h: \mathcal{N}^h \rightarrow \mathcal{N}^h$  proper map
- $(\pi^h)^{-1}(x) = \mathcal{B}_x^h$  is locally of finite type
- $\pi^{-1}(x) = \mathcal{B}_x$  is locally of finite type iff  $x$  is regular semisimple
- $\mathcal{B}_x$  is finite dimensional & connected iff  $x$  is elliptic regular semisimple (fin. many irreducible components)

Proposition For  $x \in \mathcal{N}^h$ ,  $H_x(\mathcal{B}_x^h)$  is finite dimensional iff  $x$  is elliptic regular semisimple

Proposition • If  $k < 0 \Rightarrow \forall x \in \mathcal{N}^h$  is nilpotent &  $\exists$  fin many  $\mathcal{G}^h$ -orbits

• If  $k > 0 \Rightarrow \mathcal{N}^h = \mathfrak{e}_g^h$  but could have  $\infty$  many  $\mathcal{G}^h$ -orbits

"Proof" :  $x \in \mathcal{N} \Leftrightarrow$  all invariant polys of  $x$  satisfy  $\varphi_i(x) \in \mathfrak{E} \subset \mathbb{C}[[\mathfrak{E}]]$

$$x \in \mathcal{N}^h \Rightarrow \varphi_i(h \cdot x) = \varphi_i(x)$$

$$\Leftrightarrow \varphi_i(\mathbb{T}_\tau(x)) = \mathbb{T}_\tau \varphi_i(x) = \tau^{>0} \varphi_i(x)$$

(loop rotate by  $\tau$ )

but OTOH if  $x^h = x \Rightarrow \varphi_i(\mathbb{T}_\tau(x)) = \varphi_i(\xi^2 x)$

$$= \xi^{2i} \varphi_i(x)$$

$$\tau^{>0} = \xi^{>0} \text{ impossible if } k < 0$$

$$\Rightarrow \varphi_i(x) = 0 \quad \forall i; \quad \Delta x \text{ is nilpotent. } \square$$

### K-theoretic construction

$$\ddot{\mathcal{N}} = \{(x, b', b'') : x \in b' \cap b''\} \quad \text{"Stinbez"}$$

→ Convolution product on

$$\widehat{K}(\ddot{\mathcal{N}}^h) = \prod_v \bigoplus_w K(\ddot{\mathcal{N}}_{v,w}^h)$$

completion of K-groups according to components.

same for  $H_*(\ddot{\mathcal{N}}^h)$  Borel-Moore homology

$\exists$  action of  $\widehat{K}(U^h)$  on  $K(U^h)$  &  $K(B_x^h)$

Define  $\widehat{H}$  as  $\underline{H}$  but with  $X_0$  replaced  
by  $X_0 \oplus \mathbb{Z}\omega_0$  - note tors are bigger!

$$\widehat{H} = H \rtimes \mathbb{C}[X_{\omega_0}^{\pm 1}]$$

Theorem (Kassaeff)  $\exists \phi: \widehat{H} \rightarrow \widehat{K}(U^h)$

$\leadsto$  geometric classification of irreducible  $\widehat{H}$ -modules

$\mathbb{C}_{ij^h}$  constant stack (shifted by  $d_i$  on each component)

$$L_h = \pi_* (\mathbb{C}_{ij^h}) = \bigoplus_{\chi} L_{h,\chi} \otimes \mathbb{I}\mathbb{C}(\chi)$$

$\chi$  irred local systems on locally closed subvarieties  
of  $N_h$

$\chi_h = \{ \text{irred local systems which appear} \}$

$(h,\chi)$  is a Langlands parameter

Theorem (Vassart) i)  $L_{h,x} \in \text{Irr}(O_h(\hat{\mathfrak{h}}))$

ii) This exhausts all the irreducibles

iii)  $L_{h,x} \cong L_{h',x'}$  iff  $(h,x), (h',x')$   $\tilde{G}$ -conjugate

iv) In Grothendieck group

$$H_x(\mathcal{B}_x^h) = \sum_{\lambda} L_{h,x} \otimes IC(\mathcal{X})|_x$$

as  $\mathbb{A}$ -modules.

Problem Parametrize  $\mathcal{X}_h$

Assume  $k < 0 \Rightarrow$  fin many orbits in  $\mathcal{N}^h$

$x \in \mathcal{N}^h \Rightarrow \pi_1(\text{Orb}_x) = \pi_0(\mathbb{Z}_{\tilde{G}}(x))$  acts on  
Springer fiber  $= A(h,x)$

Theorem  $\mathcal{X}_h = \{ \sigma \in \text{Irr}(A(h,x)) \text{ arising} \\ \text{in } H_x(\mathcal{B}_x^h) \text{ as } x \text{ varies in } \mathcal{N}^h \}$

Corollary ( $k < 0$ )  $C_{\{0\}} \in \mathcal{X}_h$

How about  $k > 0$ ?

Use Fourier transform between  $\mathcal{N}^h$  for  $k > 0$  &  $\mathcal{N}^h$  for  $k < 0$ : involution on set of local systems that appear

Fix a nondegenerate pairing  $\alpha_g \otimes \alpha_g \rightarrow \mathbb{C}$   
 $h \mapsto h^\# \quad (s, \tau, \xi) \mapsto (s, \bar{\tau}, \xi^{-1})$

(.) restricts to a nondegen pairing  
 $\alpha_g^h \otimes \alpha_g^{h^\#} \rightarrow \mathbb{C}$

$\Rightarrow$  have Fourier-Sato transform

$$FS : D_{\mathbb{R}^+}^b(\alpha_g^h) \simeq D_{\mathbb{R}^+}^b(\alpha_g^{h^\#})$$

or conic sheaves

$$\Leftrightarrow D_{\mathbb{G}^h \times \mathbb{C}^*}^b(\alpha_g^h) \simeq D_{\mathbb{G}^{h^\#} \times \mathbb{C}^*}^b(\alpha_g^{h^\#})$$

$$k > 0 \mapsto k < 0$$

$$FS(\mathbb{C}_{\alpha_g^h}[\dim \alpha_g^h]) = \mathbb{C}_{\{0\}}$$

Theorem (Vasserot)  $\exists$  a bijection  
 $\gamma_n \longleftrightarrow \gamma_n^*$  s.t.

$$FS(IC(\gamma)) = IC(\gamma^*)$$

... analog of results of Lusztig & Mirkovic  
on character sheaves

Use to take  $k > 0$  to  $k < 0$ .

Thm (V-V) The polynomial module  $P_x^n$   
is realized as  $H_n(B_0^n)$  ...

Show it has simple top, &  
that top is fin dim iff  
 $x$  is elliptic — by Fourier transform!

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$\Rightarrow L_{nc, \mathbb{C}_{\xi_0}}$  is spherical & f.d.  
if  $k < 0$  &  $n$  elliptic