

# Edward Witten: Boundary Conditions II

Note Title

3/6/2008

## 3d SCFT

Supercritical group  $O_{Sp}(4|4)$

$$SO(4) \times Sp(4)$$

is

$$SU(2) \times SU(2)$$

conformal group of  $\mathbb{R}^{1,2}$

Supercritical classical theories:

Fix a metric on  $\mathbb{R}^{1,2}$  Lagrangian

for global SUSY  $H \subset O_{Sp}(4|4)$

Solutions: get SUSY (but not conformal)

solution for any hyperkähler manifold  $X$ :

$$\text{study } \mathbb{I}: \mathbb{R}^{1,2} \longrightarrow X$$

$\hookrightarrow$  metric on  $X$

$$I = \int_{\mathbb{R}^{2,1}} (\langle d\mathbb{I}, *d\mathbb{I} \rangle + \dots)$$

... would be conformal in 2d but not  
3d:

not invariant under scaling of  $\mathbb{R}^{2,1}$

$$\sigma_t^+ : (x, y, z) \mapsto t(x, y, z) \quad t > 0.$$
$$\mathbb{R}^{1,2} \longrightarrow \mathbb{R}^{1,2}$$

Can compensate iff  $X$  is conical:

ie  $\exists \sigma_t : X \rightarrow X$  s.t.

$$\sigma_t^* \langle \cdot, \cdot \rangle = t \langle \cdot, \cdot \rangle$$

$\rightarrow$  can compose  $\tilde{\sigma}_t \circ \sigma_t$  &  
get scale invariance.

So for a conical hyperkähler manifold get  
scale invariance.

For superconformal invariance, need

$SU(2)$  action on  $X$  rotating the complex structures,

e.g.  $X = \mathbb{R}^{4n} = \mathbb{H}^n$

left or right action of  $SU(2)$  of  
units in  $\mathbb{H}$  gives desired symmetry...

but in fact have 2 such  
 superconformal structures --- can  
 identify either factor of our  
 $SU(2) \times SU(2) \subset OSp(4|4)$

with this global  $SU(2)$  I get  
 a superconformal theory —

[one  $SU(2)$  acts on  $X$ , one acts only  
 on fermions]

"mirror symmetry" of Intriligator & Seiberg  
 — related by outer automorphism of  
 $OSp(4|4)$ ,

2d analog SCFT of a Calabi-Yau

has  $OSp(2|2) \times OSp(2|2)$

$O(2) \times Sp(2)$        $O(2) \times Sp(2)$

outer  
 automorphism

$\mathbb{Z}/2$        $\times$        $\mathbb{Z}/2$

A  $\sigma$ -model is a function  
 Calabi-Yau manifolds  $\rightarrow$  SCFTs  
 $Y \longmapsto \sigma(Y)$

$Y, Z$  are mirror if  
 $\sigma(Y) = \tau \circ \sigma(Z)$

where  $\tau = * \circ *$   $* \in \mathbb{Z}/2$

(note  $* \circ *$  = just orientation reversal,  
 a classical symmetry of  $(Y, g)$ )

[Combo of outer aut of conformal group  
 giving auto of theories & of geometric  
 symmetry]

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3d: want functor from geometries  
 (eg quivers) to 3d SCFTs w'd get  
 an interesting mirror symmetry story,  
 combining the outer automorphisms with the  
 geometric constr.

Gaussian case: flat  $\mathbb{R}^{4n}$

Can write  $\mathbb{R}^{4n} = \mathbb{R}^{4p} \times \mathbb{R}^{4q}$ , can apply automorphism to one factor or the other.

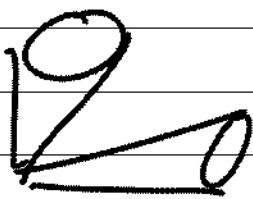
Other critical examples are Singular  $\Rightarrow$  classical description fails for two reasons:

1.  $\sigma$ -models in  $\geq 2$  dims not well behaved in quantum world
2. have singularity! need to correct quantum theory.

$\leadsto$  need to define by more powerful methods.

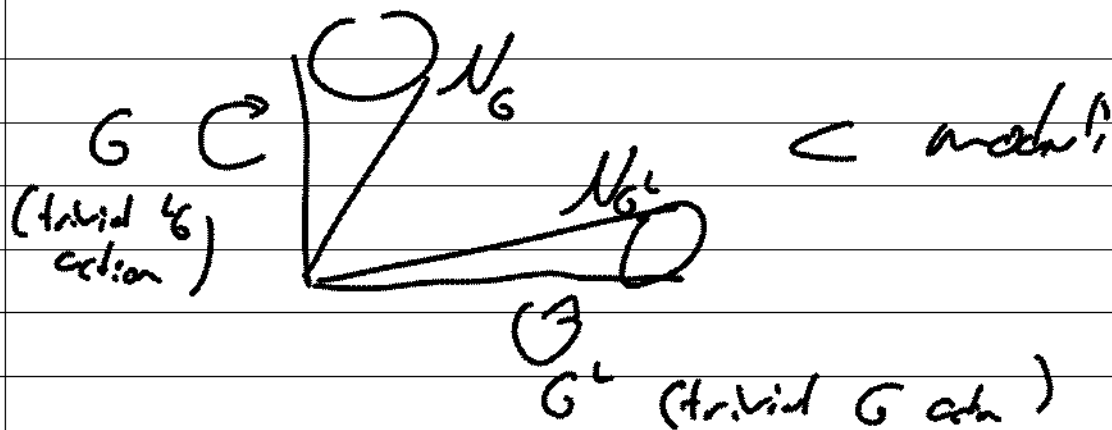
Find eg  $X$  as a component of the moduli of vacua of the complete theory.

Often have several branches meeting

at the singularity: 

last time: SCFT  $T(G, \mathbb{C})$

$G \times \mathbb{C}$  symmetry, moduli of vacua  
with many irreducible components,  
in particular nilpotent cones  $\mathcal{N}_G, \mathcal{N}_{G^2}$



Generic component:

$\mathcal{O}_Y :=$  nilpotent orbit of  $G$

$\mathcal{O}_Z =$  " " of  $\mathbb{C}$

$\overline{\mathcal{O}_Y} \times \overline{\mathcal{O}_Z} \hookrightarrow G \times \mathbb{C}$   
generic component.

Each brach is a conical hyperbolic manifold with an  $SU(2)$  inside the full  $SU(2) \times SU(2)$  which rotates the complex structure, which were the  $SU(2)$ 's that appeared last time.

Self-mirror:  $T(6,6) \cong T(6,6)$   
under 3d mirror symmetry.

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Classical theories with "global SUSY"  
(subgroup preserving vev)  $Osp(4|4)$

Any  $G \rightsquigarrow$  minimal gauge theory,  
truncation of  $N=4$  SYM:

Bosonic fields:

$A, \phi \in R \otimes \mathfrak{g}$   
gauge fields

(where  $R = (3 \oplus 1)$  rep of  $SU(2) \times SU(2)$ )

(ie  $\phi$  gives three scalar fields  
valued in  $\mathfrak{g}$ , acted on by  $S(U)$ )

$$I = \frac{1}{g^2} \int \text{Tr} (F \wedge * F + d_A \phi \wedge * d_A \phi \\ + \sum_{i < j} [\phi_i, \phi_j]^2 + \text{fermions})$$

Out of this we construct a hyperkähler  
manifold — the Coulomb branch —  
which has quaternionic structure to its metric...

Add  $X$  a hyperkähler manifold with  $G$  action  
— we'll take  $X = \mathbb{R}^{4n}$ , smooth,  
— so that things will make sense!

Write  $x: \mathbb{R}^{1,2} \rightarrow X$  for our field

$$\Rightarrow \text{add to action } \langle d_A x, * d_A x \rangle + \langle \mu, \mu \rangle \\ + |V(\phi)|^2$$

— forced by SUSY



Here  $\langle \mu, \mu \rangle$  is the square of hyperkähler moment map

$$\mu: X \rightarrow \mathfrak{g} \otimes \mathbb{H} \cong \mathfrak{g} \otimes \mathbb{R}^3$$

[origin of hyperkähler moment map]

$V(\varphi)$ : we have an action map  
 $\mathfrak{g} \rightarrow \text{Vect}(X)$ ,

$$\varphi \longmapsto V(\varphi) \in \text{Vect}(X) \otimes \mathbb{R}^3$$

$\mathfrak{g} \otimes \mathbb{R}^3$

[One  $SU(2)$  acts on  $\varphi$   
the other acts on  $X$  rotating complex structure, Mirror symmetry will exchange them]

$X$  here is really a linear representation

$$\rho: G \rightarrow Sp(2N) \hookrightarrow \mathbb{R}^{4N}$$

— couple to gauge theory, ie  $x$  lives in  $\mathbb{R}^{4N}$  bundle twisted by gauge group.

Problem Find "moduli space of vacua".

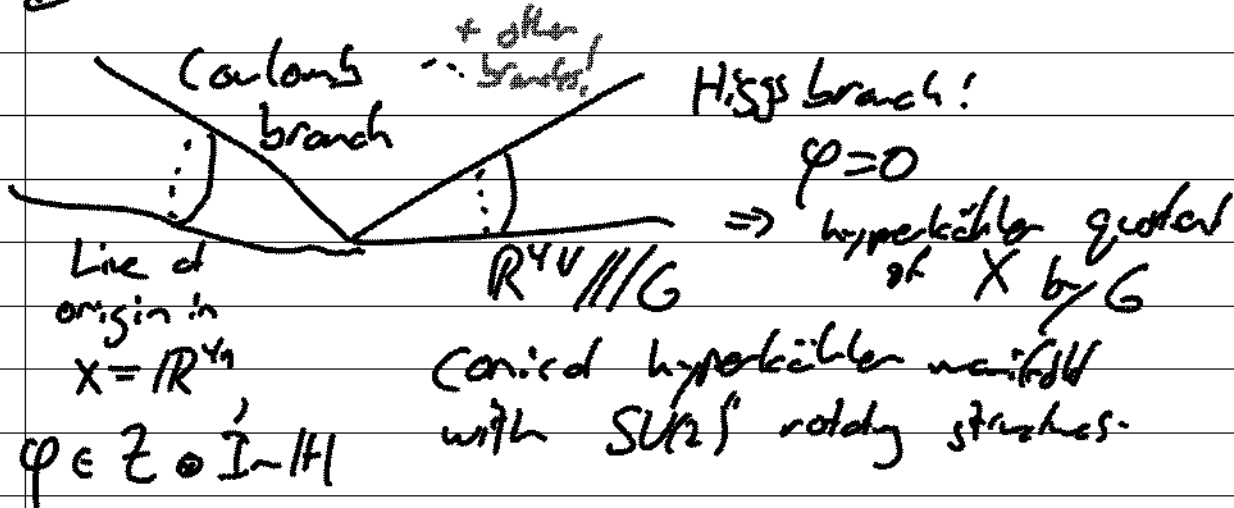
Classically: set energy to zero (as starting approx.) & divide by  $G$ .

$\Rightarrow$  set  $\mu = 0 \hookrightarrow V(\varphi) = 0$

$\Rightarrow$  so  $x: \mathbb{R}^{1,2} \rightarrow X$  must take value of a zero of  $V(\varphi)$

& also  $[\varphi_1, \varphi_2] = 0$ .

Branches of solution space!



up to gauge since  $[\varphi_1, \varphi_2] = 0$

... dim looks like 3. rank of ... will fix to make it hyperkähler -

ext opposite  $SU(2)'$  acting on  $\phi$  &  
on the Coulomb branch.

Namely Coulomb branch  $\sim$  3rd of  
 $\phi \in \mathbb{Z} \oplus \text{Im } \mathbb{H}$ .

Suppose first  $\phi$  is a regular triak in  $\mathfrak{t}$ :  
ie the centralizer of  $\phi$  in  $G$  is precisely  
 $T \iff$  no Weyl group stabilizer

$\phi$  breaks structure group from  $G$  to  $T$   
.... at low energy gauge group  
observed is  $T$ , other components become  
massive

Ex  $G = SU(2)$   $T = U(1)$   
curvature  $F = 2\text{-form}$ .

$0 = dF$ , Bianchi identity

$0 = d * F$ : Maxwell's equations

So locally  $F = * d\sigma$  (3 dims!)

where  $\sigma: \mathbb{R}^{1,2} \rightarrow \mathcal{U}(1)$

↳ this relation holds on quaternion level as well.

Classically the Coulomb branch is  
 $\underbrace{\text{eg } \mathbb{R}^3 \times \mathcal{U}(1)}_{\phi_i} / \text{Weyl} \left. \vphantom{\begin{matrix} \text{eg } \mathbb{R}^3 \times \mathcal{U}(1) \\ \phi_i \end{matrix}} \right\} \text{not correct!}$   
 $[\phi_i, \phi_j] = 0$

(flat hyperkähler manifold with  $SU(2)$ )

action:  $\mathbb{R}^3 \times \mathbb{R} \cong \mathbb{H}^1$

$\overset{\circlearrowleft}{SO(3)}$ : diagonal action of unit quaternions on  $\mathbb{H}^1$

We started not with an SCFT but just

SUSY field theory - but of conformal invariance means it's at Coulomb branch was not correct; Higgs branch was!

Other branches: reduction of fixed point sets of  $V(\phi)$  by subgroups.

Moduli of quantum vacua deforms the picture: branches are the same but hyperkähler metric gets deformed — though not on Higgs branch, where nothing happens!

Coulomb branch is modified quantum mechanically.

(Note  $(\mathfrak{g} \oplus \mathbb{R}^3 \times \mathbb{T}) / \mathbb{W}$  already has various conical singularities!)

(classical metric on Coulomb branch

$$ds^2 = \frac{1}{g^2} d\vec{\phi}^2 + f^2 (d\sigma)^2 \quad \sigma \in \mathbb{T}$$

Intriligator-Seiberg: explicit example for  $G$  abelian

$G = U(1)$   $X = \mathbb{H}^n$  with natural  $U(1)$  action

[This is 3d analog of Seiberg-Witten theory for Calabi-Yau branch — one loop correction + instantons]

$U(1)$  case: no instantons  $\implies$   
 get extra  $U(1)$  symmetry,  
 $\sigma \mapsto \sigma + \text{const}$ , set toric  
 hyperkähler manifold.

Explicit one loop correction:

$$ds^2 = \underbrace{\left( \frac{1}{g^2} + \frac{n}{|\vec{\phi}|} \right)}_{\frac{1}{g^2}} d\vec{\phi}^2 + g^2 (d\sigma + n\omega)$$

special case of multi  
 - Taub-NUT metric.

--- nontrivial circle bundle over  $\mathbb{R}^3$

$$\begin{array}{ccc} S^1 & \longrightarrow & M \\ & & \downarrow \\ & & \mathbb{R}^3 \end{array}$$

$\omega =$  connection  
 one form on the  
 circle bundle;

The singularity at  $\phi=0$  looks like  $\mathbb{R}^Y/\mathbb{Z}_n$

Our SFT comes by looking closely near singularity — ie replace the full space by  $\mathbb{R}^Y/\mathbb{Z}_n$ .

- RG Flow: our field theory is not invariant by homotopy, can rescale by 1-parameter family & try to take limit to get CFT (doesn't always work!)

In this case an equivalent operation is taking  $g \rightarrow \infty$ : equivalent is  $\mathbb{Z}_n$  to taking homotopies.

$$g \rightarrow \infty \Rightarrow \tilde{g}^2 = \frac{\phi}{n}$$

$$ds^2 = \frac{\eta}{|\phi|} d\phi^2 + \frac{\vec{\rho}}{n} (d\sigma + n\omega)$$

~ conical hyperkähler metric,  $\mathcal{H}^4$  is  
 quantum version of the Coulomb branch.

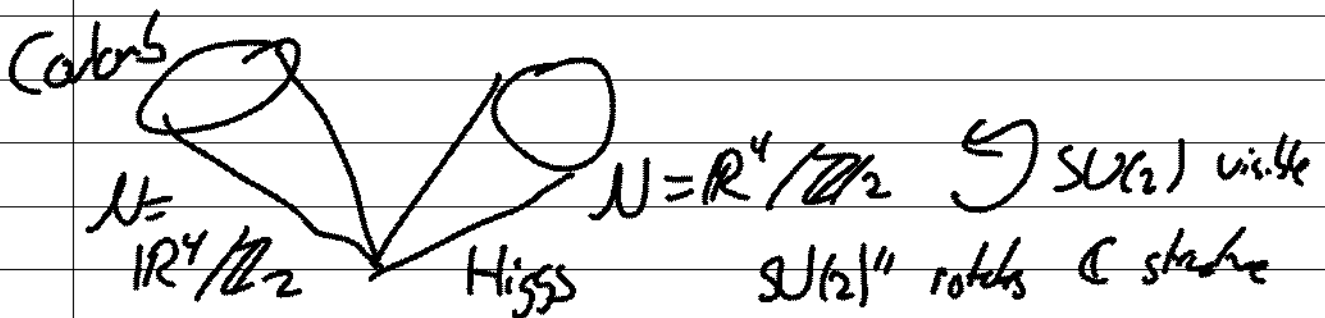
e.g.  $n=1$ :  $\mathbb{R}^4 / \mathbb{Z}_n$  no singularity  
 - in this case Higgs branch  
 disappears & Coulomb branch is smooth.

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This story works for any  $G$  &  
 sufficiently big symplectic representation.

Ex.  $n=[N]=2$ .

$$\begin{aligned} \mathbb{H}^2 // U(1) &= \mathbb{R}^4 / \mathbb{Z}_2 \quad A_1 \text{ singularity} \\ &\quad (\text{quiver construction}) \\ &= \mathcal{N}_{SU_2} \quad \text{nilpotent cone} \end{aligned}$$





Two branches are both  $U$  but for different reasons — for Coulomb by a tricky quantum argument, for Higgs for evident reasons

Coulomb:  $U(1) \times SU(2) \subset S_0(4)$   
 acts on  $H^2/U(1)$ , get  $SU(2)$   
 action for a dual  $SU(2)$ .

Maximal torus of this  $SU(2)$  is dual to the gauge group torus  $U(1)$ .

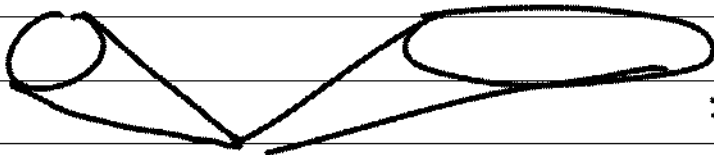
$$\Rightarrow T(G, \mathcal{L}_G) = T(SU(2), SU(2))$$

from  $U(1)$  gauge theory

$$H_{\text{SSS}} = N_G \quad \text{Coulomb} = N_G.$$

Same example for  $N > 2$ :

$\mathbb{R}^4 / \mathbb{Z}_n$



$H^2 // U(1)$

= minimal nilpotent  
 orbit of  $\mathfrak{sl}(2)$

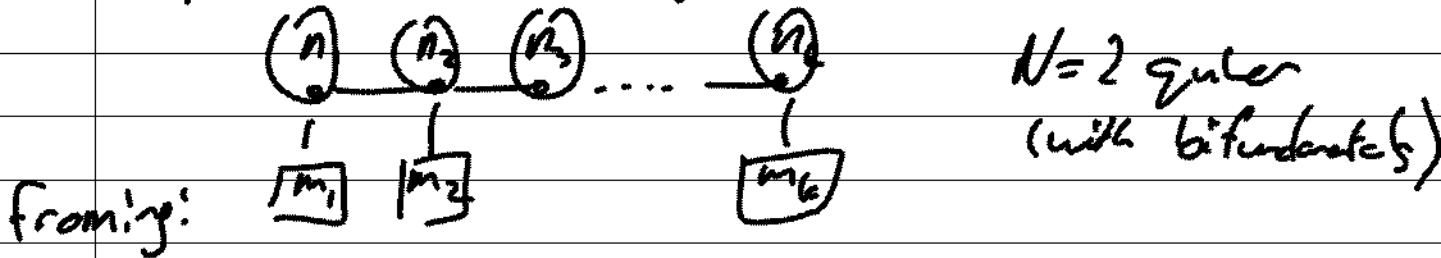
("sub" minimal really: not 0!)

$\mathbb{R}^4 / \mathbb{Z}_n =$  Stability slice to the  
 subregular nilpotent orbit of  $SO(n)$ .

General Story : Galois stability slice  
 to a nilpotent orbit of  $G \subset$   
 Higgs is closure of a nilpotent orbit  
 for  ${}^L G$ .

$G$  any compact group,  $R$  any suff.  
 big representation in  $Sp(2n)$   
 have a singularity leading to a SCFT

Special cases via quivers:



"Sufficiently big" (Nakajima)

let  $w_i = m_i + (n_i)$  (= Carter index

- want  $w_i \geq 0$

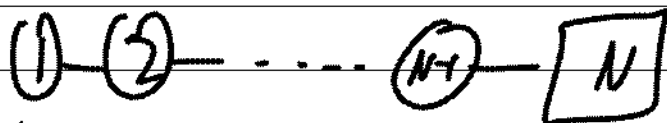
ie what hyperkähler quotient good  
 - get SCFT,  
 Mirror operation here merely  
 exchanges  $m$ 's &  $w$ 's.

Quivers  $\longrightarrow$  SCFT  
 $Q \longmapsto \sigma(Q)$

$Q_1$  &  $Q_2$  are mirror if  $\sigma(Q) = \tau \circ \sigma(Q')$

Seiberg-Intrilligator:  $\begin{matrix} n=1 \\ \bullet \\ | \\ m=2 \end{matrix}$   $w=2$  self mirror

$G' = SU(N) = G''$  here theory  $T(G', G'')$   
 with symmetry  $G' \times G'' \triangleq SU(2)' \times SU(2)''$



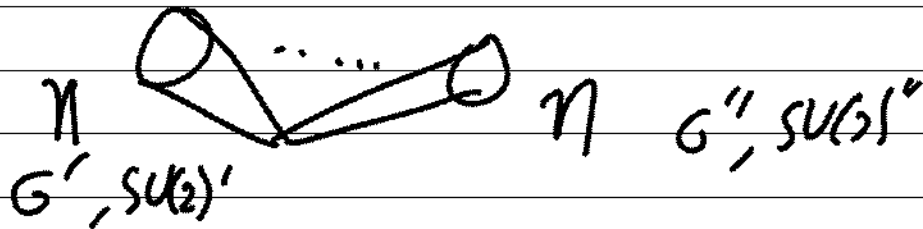
only one  $\square \Rightarrow$  U(1) symmetry

$$\dim_{\mathbb{H}} \text{Coulomb} = \text{rk} (U(1) \times U(2) \times \dots \times U(N-1))$$

$$= \frac{1}{2} N(N-1)$$

$\dim_{\mathbb{H}} R - \dim G$  is also  $\frac{1}{2}N(N-1)$

This gauge is self-mirror



Old: Higgs branch is  $N$

New: Coulomb branch is also  $N$

In general get mirror symmetry of nilpotent orbits  
& Slodowy slices of dual orbit  
(assigned to dual gauge)

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## Principal SL(2) in geometric Langlands

Why is geometric Langlands  $\mathbb{Z}$ -graded?

$N=4$  in 4dim!

$$\text{PSU}(4|4) \supset \underbrace{\text{SO}(6)}_{\text{SU}(4)} \times \underbrace{\text{SO}(4,2)}_{\text{conformal group}}$$

Topological twist breaks  $\text{SO}(6)$   
into a subgroup commuting with  $\text{SU}(4)$

$$\text{SO}(6) \supset \text{SO}(2) \times \text{SO}(4)$$

Start with a 4d superconformal field  
for  $\mathfrak{g} = \text{PSU}(4|4)$ : choose  
a priori any rank 6 real vector  
bundle  $V$ .

$$\text{we'll take } V = TM_4 \oplus \mathbb{R}^2.$$

$\mathbb{Z}$ -grading comes from automorphisms

$SO(2)$ , acts on everything,  
hence theory is  $\mathbb{Z}$  graded.  
 $V = \mathbb{R}^2 \oplus TM$

This  $SO(2)$  sits in original  $SO(6)$

$\hookrightarrow SO(6)$  acts on the six scalar fields

$$\vec{\phi} = (\phi_1, \dots, \phi_6) \in \mathfrak{g} \otimes \mathbb{R}^6$$

$$\text{So } SO(2) \hookrightarrow \sigma = \frac{\phi_5 + i\phi_6}{\sqrt{2}} \in \mathfrak{g}$$

Last time! three of the  $\phi$ 's had  $U(1)$  subgroups, labelled by homomorphisms  $su_2 \rightarrow \mathfrak{g}$

Principal  $su_2$  was dual to the elementary (Neuman) boundary conditions.

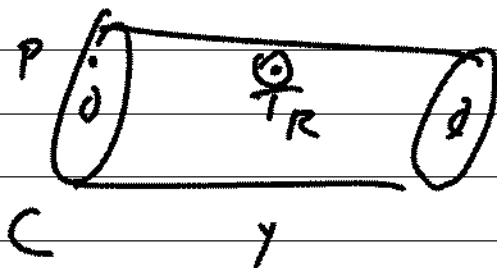
Two cases:  $\sigma$  does or does not  
 have a pole  $\rightsquigarrow$   
 2 appearances of  $SL_2$  in  
 geometric Langlands-

If  $R = \text{rep of } {}^L G$   
 $\longleftrightarrow$  orbit in affine Grassmannian  
 on  $G$ ,  $\mathcal{O}_R$

$IH^*(\mathcal{O}_R)$  intersection cohomology  
 is a  $\mathbb{Z}$ -graded vector space with  
 principal  $SL_2$  action.

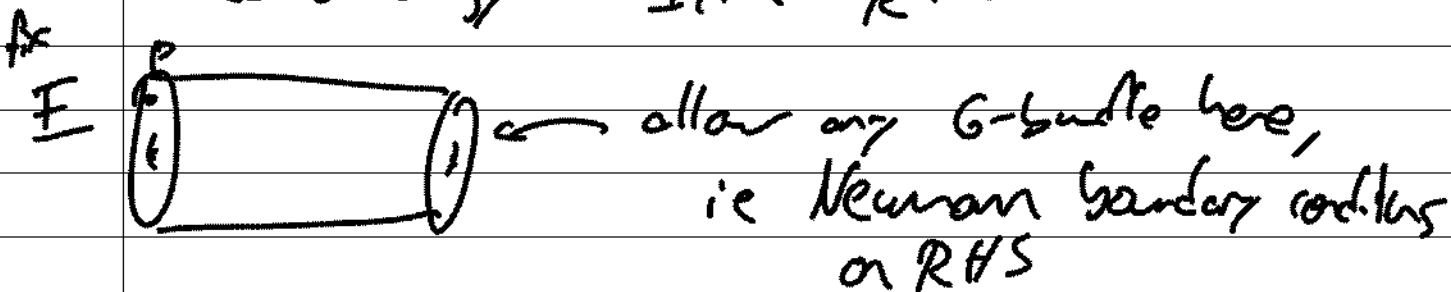
Where does  $\mathcal{O}_R$  arise?

$C$  curve  $\ni p$  point



look at all Hecke  
 modifications of  
 type  $R$  for  $G$   
 -  $T_R$  Hecke operators

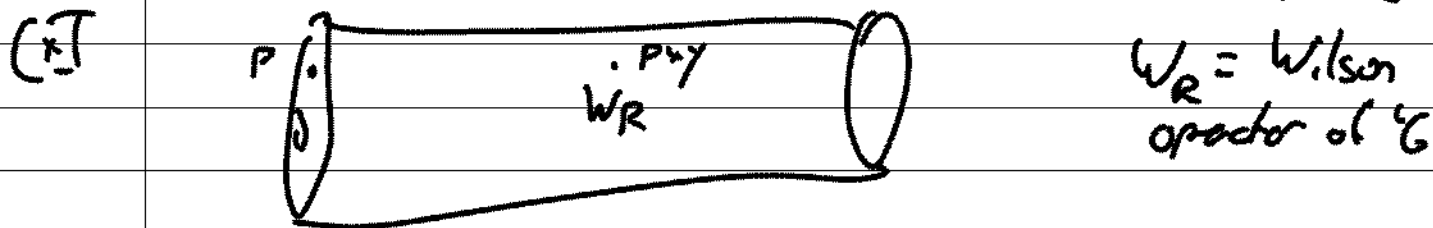
Boundary conditions: fix incoming bundle  
 but allow any outgoing bundle  
 $\Rightarrow$  orbit  $\mathcal{O}_R$  as space of  
 modifications & space of physical  
 states on the 3-manifold is the  
 cohomology  $IH(\mathcal{O}_R)$ .



On other side we want to fix  $E$ !  
 locally the same as Dirichlet conditions.

(convenient to have fixed state bundle...)  
 in modified Dirichlet.

To understand this let's consider the dual picture





Dual boundary conditions!

Dual of Neumann  $\leadsto \sigma$  has a pole  
if boundary is  $y=y_0$

$$\leadsto \sigma \sim \frac{1}{y_0 - y} +$$

+ c.c. principal n.p. part

(dual to Neumann in B model  $\leadsto$   
 $\sigma$  won't have pole...)

[ Other side have form of dual to Dirichlet ]

Physical Hilbert space: principal  $SU_2$   
rep is irreducible, so get frozen  
modes.

As a vector space just need to  
quantize  $W_R \leadsto$  Hilbert space =  $\mathbb{R}$ .

Really  $SO(2)$  that acted on  $\sigma$  is lifted  
to  $Spin(2)$  on fermions

- wrt  $U(1) = \text{Spin}(2)$   $\sigma$  has  
 $\text{Spin } 2 \dots \iff$  even dimensionality of  
 cohomology.

$$\sigma \mapsto e^{2i\alpha} \sigma \quad e^{i\alpha} \in U(1)$$

Can't change boundary condition = pole of  $\sigma$  -  
 must modify by gauge transformation  
 wrt which pole of  $\sigma$  has weight 2

$\Rightarrow$   $\mathbb{Z}$  grading of  $R$  by Cartan of  
 principal  $SU_2$ , normalized so  $\sigma$  has  
 degree 2.

What about raising operator = principal nilpotent  
 $\iff$  2dim cohomology class of the affine  
 Grassmannian? (acts on  $R$  as  
 multiplication by  $\sigma \sim \frac{1}{y_0 - y} t$   $\leftarrow$  principal  
 nilpotent)

$$(\phi_1, \sigma) \sim \frac{\hbar}{y_0 - y}, \frac{e}{y_0 - y}$$

$\leftarrow$  vector space field

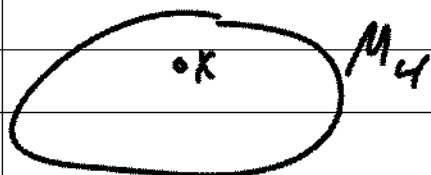
In fact full  $H^*(G) \rightarrow \text{End } R$

- hints from Witten's youth, in Donaldson theory:  
(1988)

$N=2$  gauge theory, mod field  $\sigma$ ,  
 $P =$  invariant polynomial on  $\mathfrak{g}$ ,

$P(\sigma)$  quantum field operator, commutes  
with Donaldson theory ( $\delta$  &  $GL$ )  
differentials

$\sigma \leftrightarrow$  curvature 2-form on universal bundle  
in Donaldson theory



$P(\sigma(x))$  gives 2d-dim  
cohomology class.

Solve by Seiberg-Witten theory:

get  $P(\sigma_{\text{eff}}(x))$  in SW effective theory

.. actually, don't want to act at a point

but talk about  $M_4 \times B_{\text{un}}^6$

get 2d-dim class on base,

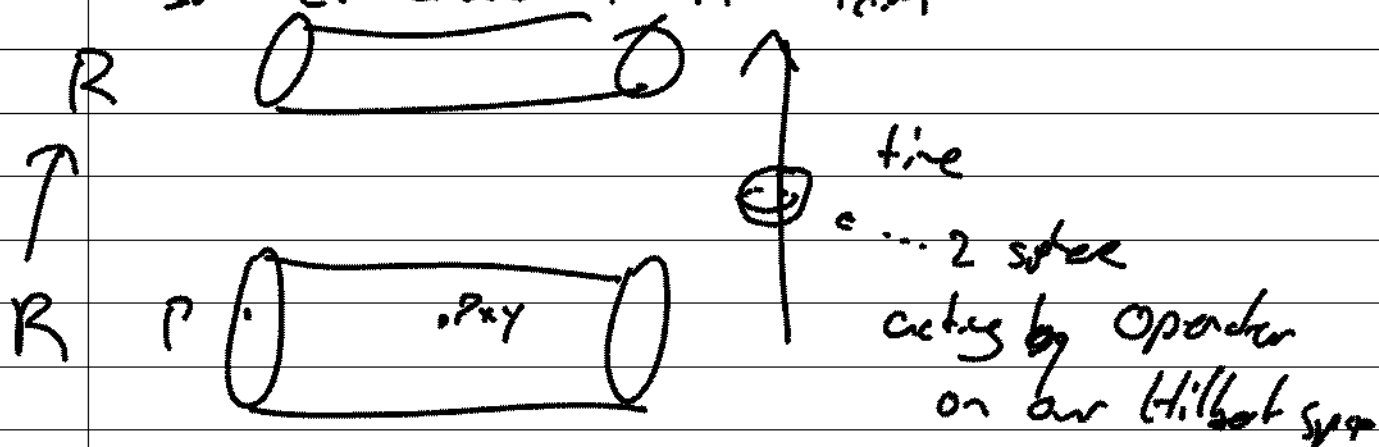
Can integrate over cycles

→ form valued fields on spacetime

Our case: a 2 form valued field

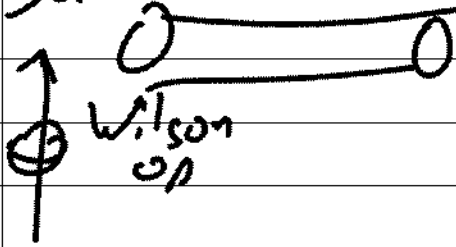
$$\left( \frac{\partial P}{\partial \sigma} F + \frac{\partial^2 F}{\partial \sigma^2} \psi \psi \right) = \mathcal{O}^{(2)}(P, \sigma)$$

Action of  $H^*(G)$  is not a functional action but rather an action on the cohomology — ie localized in time!  
So we'll draw it in 4d



$$\text{Operator: } \int_{S^2} \mathcal{O}^2(P, \sigma)$$

Dualize the full picture:



Operator is  $\int_{\Sigma} \text{Tr} (PF + \psi\psi)$

Same expression on dual side  
- fermions don't do anything,  $F$  vanishes  
due to electric charge

$\Rightarrow$  operator is  $\frac{\partial P^L}{\partial \sigma}$   $P^L$  corresponding invariant poly  
- polynomial in principal nilpotent.

We have a map invariant polys  $\rightarrow H^*(\mathbb{R}S^2)$

$\searrow$   $H^*(G)$