

T. Bridgeland - Flops & Fourier Transforms

11/2/02

Theorem: If Y_1, Y_2 are birationally equivalent smooth projective threefolds / \mathbb{C} with nef canonical bundles (e.g. CY) then there is an equivalence of derived categories of coherent sheaves $D(Y_1) \xrightarrow{\sim} D(Y_2)$

Philosophy: aim to understand varieties via categories of sheaves on them (like studying rings via modules...)

$$X \longrightarrow (\text{coh } X \text{ abelian category}) \longrightarrow D^b(\text{coh } X) \quad \begin{matrix} \text{triangulated} \\ \text{determines} \\ \text{birationally} \\ \text{class} : \text{captures} \\ \text{geometry} \end{matrix}$$

- determines X

A. an abelian category

- 1). (an identity A with full subcategory of DA) &
 $\text{Hom}_{DA}(M, N) := \text{Hom}_A(M, N[i]) = \text{Ext}_A^i(M, N)$

X, Y smooth projective varieties / \mathbb{C}

Theorem (Bondal-Orlov): If $\pm K_X$ is ample then $D(X)$ determines X (explicitly reconstruct X !)

Theorem: If $\dim X \leq 2$ then there are only finitely many Y with $D(Y) \cong D(X)$

But there are interesting examples of $D(X) \cong D(Y)$

How do we construct them?

(uses projective) Theorem (Orlov): Any equivalence $D(X) \xrightarrow{\Phi} D(Y)$ is of the form $\Phi: Y \times X \xrightarrow{\pi_Y \times \pi_X} X \xrightarrow{\Phi(-)} D(Y)$ for some $R \in D(X \times Y)$

(any fully faithful exact functor is of this form...
 not known for general exact functors)
 "Fourier-Mukai transforms"

$n = \dim X = \dim Y$

Theorem (Bondal-Orlov, Bridgeland following Mukai):

Such a functor is an equivalence iff

- $\text{Hom}_{D(X)}^i(\Phi \mathcal{O}_Y, \Phi \mathcal{O}_Y) = 0$ unless $i = \pm 1$ or $i = 0$
- $\text{Hom}_{D(X)}(\Phi \mathcal{O}_Y, \Phi \mathcal{O}_Y) = \mathbb{C}$
- $\Phi \mathcal{O}_Y \otimes \omega_X \simeq \Phi \mathcal{O}_Y \quad \forall Y \in \mathcal{Y}$

- why? $\otimes \omega_X$ is Serre functor, purely categorical,
 Φ must be invariant since points are.

Suppose e.g. $\omega_X = \mathcal{O}_X$. Q : What is a matrix
 orthogonal? a, b : columns of matrix are
 orthonormal basis.. clearly necessary.. initially also
 need condition that rows are orthonormal! necessary
 if don't assume dimensions are equal!

square matrix: column condition sufficient (by rank-nullity)
 i.e. have to establish notion of dimension.

$a, b \Rightarrow \Phi$ fully faithful (like matrix being invertible)
 $D(Y) \hookrightarrow D(X) \Rightarrow$ break up $D(X) : \langle D(Y), D(Y)^\perp \rangle$

C: $D(Y)^\perp$ right adj. is also a left adj. if both
 right & left \Rightarrow direct sum decomposition, known impossible
 on smooth proj. variety

Autonotic that inverse is the adjoint.

$\Rightarrow Y$ is a free moduli space for the objects $\{\Phi Q, y \in Y\}$
 - since Φ is an integral kernel it must
 preserve finitely .. apply Φ^* get family of
 points in $Y \Rightarrow$ map to Y .

i.e. S s.t. $\Phi \in D(S \times X)$ s.t. $\Phi|_{\{s\} \times X} \in \{\Phi Q, y \in Y\}$
 for all $s \in S$ (derived restriction)

then \exists map $S \xrightarrow{f} Y$ s.t. $\Phi|_{\{s\} \times X} = \bigoplus_{y \in Y} \mathcal{O}_{X,y} \otimes \mathcal{O}_X^\perp$
 or better $\Phi \cong \cancel{(f \times 1_X)^*} \Phi$... careful about placement
 of spaces in Φ .

well really maybe want clg s.t.
 to understand collision of sky-screens

Examples 1. X an abelian variety, $Y = \hat{X}$ the dual variety,
 $\Rightarrow Y =$ moduli of dry \mathcal{O} line bundles on X , $\{P_y : y \in Y\}$
 - need to check $H^i(X, P_y) = 0 \quad \forall i$ if $y \neq 0$
 [Mukai:]

2. X a K3 surface, Y a fine 2-dim moduli space
of stable sheaves on X , Projective, thus
 $D(Y) \xrightarrow{\sim} D(X)$.

Check that $\text{Ext}_X^i(P_{Y_1}, P_{Y_2}) = 0$ for $Y_1 \neq Y_2$
Hans vanishes by slope, Ext^2 by semi duality
& Ext^1 since $\chi(P_{Y_1}, P_{Y_2}) = \chi(P_Y, P_Y) = 1 - 2 + 1 = 0$.
[Mukai?]

Minimal models find good model in each birational class...

Curves: have smooth model

Surfaces: don't have unique smooth model due to singularities:
have (-1) -curves $K \cdot C = -1$, blow down
get minimal model X .

either:

- X is \mathbb{P}^2 or ruled $\leftrightarrow C \cdot C = -1$ $g(C) = 0$
- or • K_X is nef $\cdot K_X \cdot C \geq 0 \quad \forall \text{curves } C \subset X$

- In second case minimal model is unique. (numerically effective)
dim = 3 Mori Theory, can still obtain a minimal model
by a succession of divisorial contractions and flips
(codim 2 surgeries...)

Dichotomy: X is Fano (K_X ample) or ruled or del Pezzo fibration
OR K_X nef. - very difficult.

Minimal model not unique in either case but must allow singularities

Example of flop (minimal model not unique)

$X = (XY - UV) \subset \mathbb{C}^4$, singular at origin

$\frac{X}{U} = \frac{V}{Y} : X \dashrightarrow \mathbb{P}^1$ rational function, take closure
of graph of this, get resolution
single rational curve contracted
or use $\frac{X}{V} = \frac{U}{Y}$



Theorem (Kollar) If Y_1, Y_2 smooth

Proj three folds with K_Y ; nef, then any birational equivalence
between them as a finite chain of flops.

- $W \dashrightarrow Y$ e.g. contract only fin many
 $g \rightarrow g'$ curves $C \subset P^1$, $K \cdot C = 0$

- Or also assume D f.flat $\Rightarrow -\phi'(0)$ grande
(positive curves \leftrightarrow negative curves).

$$W \dashrightarrow Y \\ g \downarrow \swarrow f \\ X$$

So want to show that for any flop get equivalence of derived categories: exhibit W as a moduli space of objects in $D(Y)$

There is an abelian subcategory $\text{Per}(Y/X) \subset D(Y)$

$E \in \text{Per}(Y/X) \iff$ a. $H^i(E) = 0$ unless $i=0, -1$

b. $H^i(Rf_*(E)) = 0$ unless $i=0$

c. $\text{Hom}(H^0(E), C) = 0$ for all sheaves C

on Y with $Rf_* C = 0$

Write $D(Y) = D(X) \perp \langle \mathcal{O}_Y(-1) \rangle^\perp \rightarrow$ things which push down to zero

and tilt: instead of inclusion & restriction of open sets
she w.r.t pullback & pushforward

$Lf^*: D^-(X) \hookrightarrow D^-(Y)$, has orthogonal subcategory
shift one of these.

Def A perverse point sheaf is an object $E \in \text{Per}(Y/X)$
numerically equivalent to \mathcal{O}_Y , s.t. there is a surjection
 $\mathcal{O}_Y \rightarrow E$ in $\text{Per}(Y/X)$

Theorem The flop W is the fine moduli space for perverse
point sheaves on Y , & the universal object defines an
equivalence $D(W) \rightarrow D(Y)$

Van den Bergh: coherent sheaf of algebras (orvan) so that
 W is moduli of modules. (two by two multiplication)

Next $Y \rightarrow X$ crepant of rel dimension 1

$W \xleftarrow{W_X^*} Y \xrightarrow{Y} X$ different types of flops -- for simplest
flops $W_X^* Y$ is smooth e.g. in toric except
of quadric ..

Look at $W \xleftarrow{W_X^*} Y \rightarrow Z$ pullback & pushforward is
epicategory (Borel-Oda)
sometimes

Bondal Orlov proved in case of nodal singl. $\mathcal{O}(-1) \oplus \mathcal{O}(-1)$ or $\mathcal{O} \oplus \mathcal{O}(-2)$ or $\mathcal{O}(1) \oplus \mathcal{O}(-3)$ (reduces to last two by "Reid's Pagoda")

- Compute in this case images $\Phi(\mathcal{O}_w)$: $H^1(\Phi(\mathcal{O}_w)) = \mathcal{O}(-1)$
- guess categorical meaning of this answer.
 $f: Y \rightarrow X$ morphism with $f^* \omega_X = \omega_Y$
 then $Rf^* f_*$, $f^!$ adjoint
 compute f^* & $f^!$ using ω
 (coincide on perfect complexes, ~~up to a shift~~
 but not on all...)

Important fact: X has rational singularities, ie $Rf_* \mathcal{O}_Y = \mathcal{O}_X$ ($\& Y$ smooth)

$$\Rightarrow Rf_* \circ Lf^* = \text{id}_X$$

(Elkik: canonical sheaf are rational)

So $Lf^*: D(X) \rightarrow D(Y)$ is fully faithful
 problem: Rf_* doesn't take perfect to perfect...
 Should consider unbounded complexes (on left).

Whence have fully faithful functor with adjoint in triangulated category

$$f^* \circ f_* E \longrightarrow E \quad \Rightarrow \quad f_* f^* E \xrightarrow{\sim} E$$

$\begin{matrix} \downarrow f_* \\ C = \text{cone} \end{matrix}$

[ω_Y gives some functor on perfect complexes -
 but f_* doesn't give perfect \Rightarrow pass to unbounded
 but $f_* f^*$ doesn't exist...]

Any rational sing. $\mathcal{O}_Y = \mathcal{O}_X$

$D(X)$	$\xrightarrow{f_*} \xleftarrow{f^*} D(Y)$	$C = \{C \in D(Y) : f_*(C) = 0\}$
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Then every $E \in D(Y)$ sits in a large triangle

$A \rightarrow E \quad A \in \mathcal{A} = D(X)$

$\begin{matrix} \uparrow \downarrow \\ C \end{matrix} \quad \begin{matrix} \text{cat} \\ D(X) = D(Y) / \mathcal{C} \end{matrix}$

\mathcal{A} no has between A, C .

Beilinson: if has one more adjoint on sheaf
 t -structures on A, C to t -structure on big category

Porous world

$$\text{open} : U \subset Z \xrightarrow{\quad} \text{closed} : T$$

$$D(U) \leftarrow D(Z) \xleftarrow{\quad} D(T)$$

embedding as thick subcategory

$$D(U) \simeq D(Z)/D(T)$$

$$(\hookrightarrow D(X) \xleftarrow{f^*} D(Y) \hookrightarrow \mathcal{C})$$

Whence have two adjoints (equivalent on either side)

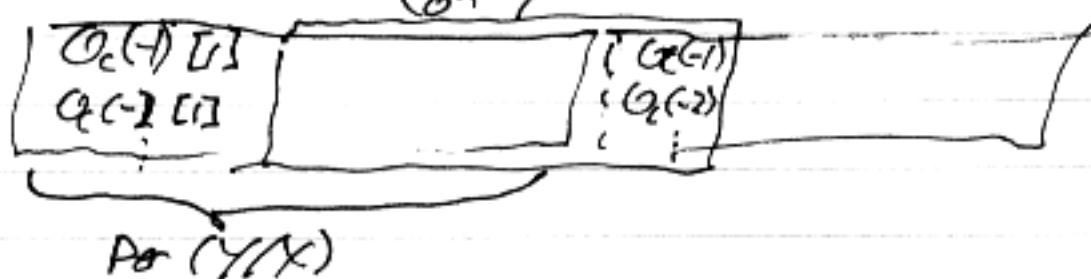
$$D(X) \xleftarrow{\quad} D(Y) \xrightarrow{\quad} \mathcal{C}$$

\Rightarrow get t -structures from gluing

any two t -structures on $D(X), \mathcal{C}$.

Don't need creps., only rational singularities so
 $D(X) = D(Y)/\mathcal{C}$.

Cohomological porous stacks $\text{Per}(Y/X)$ are here
by shifting t -structure on quotient by 1.

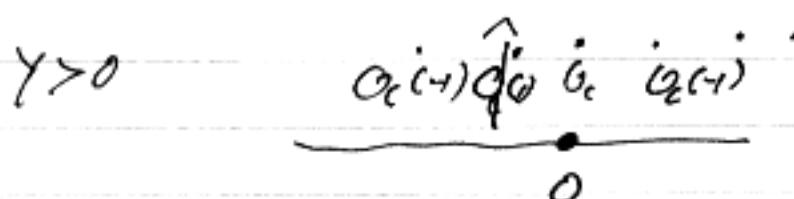


Douglas picture: abelian category gets filtered as we move around moduli space of CFTs.

"Flap corresponds to a slanted monodromy" (Picard-Lefschetz-type transition on the derived category)
- reflection wrt spherical object

$$Q_c(0) \leftrightarrow Q_c(-1)$$

$$\text{Write } Z(Q_c(-k)) = Y - kx \text{ coords a } \mathbb{R}^2$$



as Y crosses axis get

Objects $Q_c(-k)$ are all spherical! $\text{Ext}_Y(Q_c(k), Q_c(-l))$

- get monodromy around flap.
tells you where to lift.

$$\begin{aligned} \text{Per}(Y/X) &\times \text{Coh } Y \\ &\xrightarrow{\quad} \text{Coh } W \end{aligned}$$

$$= \begin{cases} \mathbb{R} & \text{if } i \neq 0, \\ 0 & \text{otherwise} \end{cases}$$

T. Bridgeland Office Hours

X

crepant resolution (rel dim 1)

↓

\Rightarrow can define $\text{Per}(X/Y)$

Y Gerstacker

Nakajima: moduli construction of sheaves

on $C^2/\Gamma \rightarrow C/\Gamma$ Drinfel'd: wrapped as perverse sheaves.

In $Aff M$ have nondegeneracy ensuring one gets a vector bundle from mod - without it get coherent (middle perversity) perverse sheaves - look on P (complexes in $D^b(P^2)$) s.t.

1. outside fin set are vector bundle in deg 0

2. at points get H^1 torsion, ~~loc~~

& Dindaplex $R\text{Hom}(-, G)$ get object of same type

- e.g. any finite abelian it's ideal is OK

or vector bundle + maps to skyscraper \Rightarrow local lines in this category
Not abelian category...

Student of Abramovich: Bridgeland functor is given by pullback & pushforward from fiber product $W \times_Y X$ even when not smooth

any smooth W (proper birational map of smooth varieties)
 \downarrow is always $T_W O = 0$

$X \dashrightarrow Y$ gives some ~~functor~~ of derived categories

- in fact should really take the graph of $X \dashrightarrow Y$

& use it for ~~smooth~~ functors on derived categories.

- this gives equivalence, while smooth resolution W doesn't give equivalence in general.

$W \xrightarrow{g} X \xleftarrow{f} Y \quad \text{Per}(Y/X) \subset D(Y)$

IF apply construction in opposite

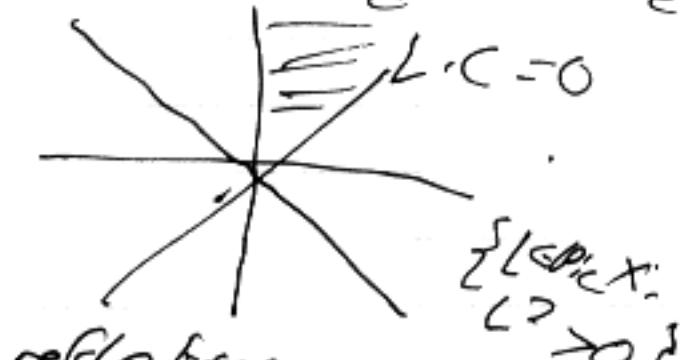
order don't get inverse to our functor: composition is twist functor by spherical object assoc to $Y \xrightarrow{f} X$

- inverse to $B \times P_1^{\vee}$ is $P_1 \times B^!$: ratio:
have to twist by canonical bundle.

G/B flag variety with Schubert correspondences \rightarrow
 factors on perverse sheaves, all size equivalences,
 but $(\cdot)_*$, $(\cdot)_!$, Hochschild — mainly from W_G group
 left action on derived category gives braids. and me

Flops live in chamber structure.

Surface case $\{L^2 > 0\}$ divisors



Cohomot sheaves on X correspond to chambers

$L^2 = -2$ curve Pivotal-Lefschetz reflections

— “Hedge algebra for Borcherds algebras”

-2 surfaces. $O_X(-1)$ sits spherical objects

Each chamber should correspond to some perverse category in $D(X)$ — used action corresponds to simple cone ...

-2 curve \rightarrow equivalence of derived category,
 acts on K-group as reflection, on derived categories
 get braiding. If all curve intersections in A_n then
 get A_n braid group.

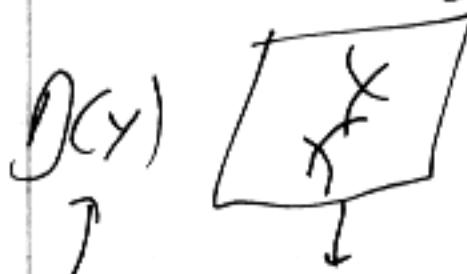
Groupoid of all autoequivalences here size coh

— $D(X)$, automorphisms of a size coh

Ivan classes of manifolds \longrightarrow f-structures on size coh categories

(other manifolds \longleftrightarrow simple objects in heart
 of f-structures ... with some duality conditions)

All those coming from flops act by identity on
 $D(X) \xrightarrow{f_*} D(Y)$



autoequivalences preserving $D(X)$ are braid
 groups (An type here) interchange curves



$D(X) \hookrightarrow D(Y) \rightarrow C$ object: D_6

Category of modules for size algebra get
 braid categories (Seidel-Thomas?) in derived categories
 of general fiber N its formal abelian

$H^2(G)$ -- want alg group with Lie algebra $H^2(G)$

- doesn't exist in algebrogeometric sense.

generic $\text{pt}(G^\times)$ -gerbe $\rightarrow K^\times$ -gerbe,

can look in étale topology, + generally, there is no
is trivial - comes from gerbe over moduli space
Complex analytically \rightarrow get only branched, not
continuous objects... can't see in étale world (unless
parallel...)

Analytically: stack of categories with no global
sectors from analytic expander, coherent category
will be supported on curves, no vector bundles...
Pf. let's do D-bundles, will live on n-tors the gerbe

Mutations on P^2 eg act on set of exceptional
collections \rightarrow on set of t-structures give broad
group action, but not autoequivalences of derived category,
BUT if take $K3 \rightarrow P^2$ broadest case

These exceptional pull back to spherical objects
give finite functors, which do act (broadly) by
autoequivalences

T. Bridgeland - McKay correspondence

11/26/02

M smooth 2-variety, dim n , $G \subset \text{Aut } M$ finite

General setup (idea):

Nakamura's G-Hilb: discrete resolution $Y \xrightarrow{f} X = M/G$
partition of equivalent pairs

s.t. $A \in \text{G-Hilb} = G\text{-equivariant } \mathcal{O}_M \text{ subsheaf } \mathbb{Z} \subset M$
 $H^0(M, \mathcal{O}_M) \cong \text{regular rep}$

$\Rightarrow G \subset \text{Aut } M$ finite groups s.t. can be triv as G-sheaf
 $Y \subset G\text{-Hilb}(M)$ disjoint regular & $X = M/G$ partition
dim $Y \times Y \leq n+1$. In this case \Rightarrow equivalence
 $D(Y) \xrightarrow{\sim} D^G(M)$
($X = M/G$ always Gorenstein)

Condition always holds if dim $M=3$

$\overline{p}: D(Y) \rightarrow D^G(M)$ given by

$$\begin{array}{ccc} Y & \xrightarrow{\exists} & M \\ f \downarrow & & \downarrow \pi \\ M/G & \xrightarrow{\exists} & M \end{array}$$

$\overline{\Phi}(-) = Rg_* \circ p^*(-)$ $\overline{\Phi}(Q) = G_{Z_Y}$
 $Z \subset Y \times M$ universal chart subsheaf.

$G\text{-Hilb}(M) \subset H\text{-Hilb}^{\text{red}}(M)$,
 $\hookrightarrow M/G$ Hilbert-Chow

Need to check: • Y is smooth • $G\text{-Ext}_M^i(G_{Z_Y}, -G_{Z_Y}) = 0$
for $y_1 \neq y_2$ • $G\text{-Hom}_M(G_{Z_Y}, G_{Z_Y}) = \mathbb{C}$

Ext condition doesn't hold in general - eg in dim=4!
special to dim=3.

Key ingredient: The Intersection Theorem (Hochster - Roberts)

Let (A, m) be a local C-algebra of dimension d .
Suppose that $0 \rightarrow M_s \rightarrow M_{s-1} \rightarrow \dots \rightarrow M_1 \rightarrow M_0 \rightarrow 0$ is

a nonexact complex of f.g. free A -modules, with each
homology module $H_i(M_s)$ of finite length A . Then $s \geq d$.

Moreover if $s=d$ & $H_d(M_s) = A/m \Rightarrow$

$H_i(M_s) = 0 \quad \forall i \neq 0$ & A is regular

(part 1) \Rightarrow * a sheaf of finite type/ \mathcal{O} , $E \in D^b(\mathcal{O}_Y)$
 then homological dimension (E) $\geq \text{codim}(\text{Support } H^i(E))$

Y Ghor-Macaulay - follows from depth considerations, ... highly non-trivial, in general. Conjectured by Sene

Don't need to assume perfect complex - otherwise hom dimension is infinite!

Pf: tensor with maximal Cohen-Macaulay module, to get things of depth d...

(part 2) \Rightarrow $O \otimes E \in D^b(\mathcal{O}_Y)$ is supported at a closed point in Y_{red}
 & has hom dim $\leq n = \dim Y$, and $H^0(E) = O$
 $\Rightarrow Y$ is smooth at y (more precisely bottom cohomology should be O_y).

Generalization of Sene's claim: structure sheaf of point has finite hom dimension \iff sheaf smooth at that point
 - this is generalized to complexes.

$$\begin{array}{ccc} p: Z \xrightarrow{\alpha} M \otimes G & & p = \text{flat map (universal substrate)} \\ \downarrow f & \searrow & \\ G\text{-Hilb} = Y & & \overline{\Phi}(-) = R\mathbb{Q}_Z \circ p^*(-) \\ & & \overline{\Phi}: D(Y) \rightarrow D^G(M) \text{ has a left} \\ & & \text{adjoint by Grothendieck duality, } \overline{\Psi}: D^G(M) \rightarrow D(Y) \\ Z \subset Y \times M & & \overline{\Phi}(-) = RTM \circ (O_Z \otimes \pi_Y^*(-)) \\ Y \hookrightarrow M & & \overline{\Psi}(-) = [R\pi_Y^*(O_Z^\vee \otimes \pi_M^* \omega_M) \otimes T_M^*(-)]^G \\ & & (\text{need that } \mathbb{Q}_M \text{ has a right adjoint by Grothendieck duality}) \end{array}$$

Composition $\overline{\Psi} \circ \overline{\Phi}$ is of the form $\begin{array}{c} Y \times Y \\ \downarrow \mathbb{Q} \otimes \pi_Y^*(-) \\ \mathbb{Q} \otimes T_M^*(-) \end{array}$
 $R\mathbb{Q}_Z \circ (O_Z \otimes \pi_Y^*(-))$
 for some $\mathbb{Q} \in D(Y \times Y)$ - if functor is given by kernel.

$\overline{\Psi} \circ \overline{\Phi} O_y = \mathbb{Q}|_{\{y\} \times Y}$ derived restriction.
 (Want to prove \mathbb{Q} = structure sheaf of diagonal.)

$$\begin{aligned} \text{Hom}_{D(Y \times Y)}^i(Q, O_{Y \times Y}) & \quad \text{restrict of } Q \text{ to } \{y\} \times Y \\ = \text{Hom}_{D(Y)}^i(\overline{\Psi} \circ \overline{\Phi} O_y, O_y) & = G\text{-Ext}_M^i(O_{Zy}, -O_{Zy}) \end{aligned}$$

i.e. has object Q whose cohomology parameterizes
 G -Ext groups of the $\mathcal{O}_{Z_{Y_i}}$.

If $y_1 \neq y_2 \Rightarrow G\text{-Ext}_m(\mathcal{O}_{Z_{y_1}}, \mathcal{O}_{Z_{y_2}}) = 0$

(no Homs between distinct clusters, even if coincide set-theoretically)

- because each $\mathcal{O}_{Z_{y_i}}$ is regular representation, so
 $I \rightarrow I$, so get isomorphism)

and so $G\text{-Ext}_m^0(\mathcal{O}_{Z_{y_1}}, \mathcal{O}_{Z_{y_2}}) = 0$ (same duality)

-- canonical bundle trivial on orbit! - will locally
 trivial as G -sheaf.

So $Q|_{Y \times Y - \Delta}$ has homological dim $\leq n-2$:

derived restriction to points vanishes ~~except between 0 - n~~
 & away from diagonal $0, n$ vanish also.

But $G\text{-Ext}^0(\mathcal{O}_{Z_{y_1}}, \mathcal{O}_{Z_{y_2}}) = 0$ unless $f(y_1) = f(y_2)$
 i.e. unless $\text{Supp}(Z_{y_1}) = \text{Supp}(Z_{y_2})$.

So $\text{Supp } Q \subset Y \times Y$ which has codim $\geq n-1$ by assumption.

This contradicts intersection theorem unless $\text{Supp } Q \subset$

~~the 2 \times 2 is smooth~~

- non-vanishing exts have to occur in reasonably large varieties!

Now $\psi \phi G$ is supported at $y \in Y$ and has
 hom-dim $\leq n$. Need to check $H^0(\psi \phi G) \cong G$

(in principle Ext groups could range from 0 to n , but
 adjoints get 0 outside Δ , or diagonal $\leq n$).

Adjoint functor used just to show Ext's depend nicely on point's

By adjunction get map $\psi \phi G \rightarrow G$, take core

$C \rightarrow \psi \bar{\phi} G \rightarrow G$ and Need $H^0(C) = 0$ (G positive space
 cohomology)

- apply $\text{Hom}_{D(Y)}(-, G)$

and observe $\text{Hom}'_{D(Y)}(G_Y, G_Y) \rightarrow \text{Hom}'_{D(Y)}(\psi \phi G, G_Y)$

this is Kashiwara-Schapira
 and hence is injective!

$H^0_{D(Y)}(G_Y, G_Y)$

Not true in higher dimensions! only expect
the stack as resolution of singular variety, won't
have crepant resolutions ... except in symplectic
setting (Hausman) If don't have crepant resolution
don't have candidate for smooth model of the stack.