

# M. Kapranov - Higher Langlands ?

Uof Chicago  
20 Langlands  
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## 1. Higher dim class field theory

Local  
n-dim local fields  
 $\mathbb{F}_q((t_1)) \dots ((t_n))$   
 $\mathbb{Q}_p((t_1)) \dots ((t_{n-1}))$   
 $\mathbb{R}$

Global  
absolute  $(\mathbb{Z})$  n-dim  
schemes  $X^n/\mathbb{F}_q$

$$\text{Weil group } W(K) \hookrightarrow \text{Gal}(\bar{K}/K)$$

$$W^{\text{ab}} \cong K_{n, \text{Milnor}}^{\text{top}}(K) \xrightarrow{N \times n} H_n(\text{GL}_n(K))$$

(taking profinite completion of K-theory) topological: need to take into account topology of fields, take completed tensor product as symbols

So 1-dim reps of  $W \iff$  continuous Steinberg symbols

$$c(x_1, \dots, x_n), x_i \in K^*$$

$\uparrow$   
come from n-cocycles of  $\text{GL}_n(K)$

$$\text{Simplest representations: } W \longrightarrow \mathbb{Z}\{Fr\} \longrightarrow \mathbb{C}^*$$

1  $\longmapsto$  s

$$K = \mathbb{F}_q((t_1)) \dots ((t_n)) : \text{tame symbol}$$

$$\{x_1, \dots, x_n\} \in K_0(\mathbb{F}_q) = \mathbb{Z} \xrightarrow{s} \mathbb{C}^*$$

$\iff$  determinantal cocycle

$n=2$   $\circ$   $K = L((t))$   $\circ$  Ordinary local field

$\{x_1, x_2\} \in L^*$ , extends to canonical element in  $H^2(\text{GL}_n(K), L^*)$ : Take (determinantal) central extension.

$$H^2(\text{GL}_n(K), L^*) \xrightarrow{\text{iso}} H^2(\text{GL}_n(K), \mathbb{C}^*) \xrightarrow{12F} \mathbb{C}^*$$

$$\text{Tame symbol is } \partial : K_2(K) \longrightarrow K_1(L)$$

$$\partial(x, y) = \{x, y\}_{\text{tame}}$$

This is the symbol corresponding to standard 1-dim rep of  $W$

$$\chi_s \in H^2(GL_n K, \mathbb{C}^\times) \leftrightarrow s\text{-character of } W$$

In this example everything comes from cohomology.

Another (more fundamental?) formulation

$K$   $n$ -dim local field:  $\Gamma = \text{Gal}(\bar{K}/K)$  satisfies Poincaré duality in dimension  $n+1$ :  $\exists$  canonical element

$$\xi \in H^{n+1}(\Gamma, \mu_x^{\otimes n}) = \mathbb{Z}/x \rightarrow \text{Poincaré duality}$$

$$H^i(\Gamma, \mu_x^{\otimes j}) \leftrightarrow H^{n+1-i}(\mu_x^{\otimes n-j})$$

$n=1$ :  $H^1(\text{Gal}(\bar{K}/K), \mu_x) \otimes H^1(\text{Gal}(\bar{K}/K), \mathbb{Z}/x) \rightarrow \mathbb{Z}/x$   
 (ordinary local field)  $\parallel$   
 $\int K^\times / (K^\times)^x$  by Kummer maps  
 true for any field.

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Langlands for 1-dim local field:

$n$ -dim reps of  $W \leftrightarrow$  some reps of  $GL_n K$

$$H^1(W, GL_n \mathbb{C})$$

when considering  $\mathbb{C}$ -representations

$$\sum_{\infty} H^1(GL_n K, "GL_\infty")$$

$\infty$ -dim reps

$n$ -dim case:

Reps of  $W$  is always an  $H^1$ ... so it should be put in correspondence with some nonabelian  $H^1$ ...

$n=2$ : nonabelian  $H^2$

$\Gamma$  a group,  $\Rightarrow A$  an abelian group  $\Rightarrow$

$$H^2(\Gamma, A) = \text{actions of } \Gamma \text{ on categories: } A\text{-gerbes}$$

A-gerbes: category  $\mathcal{C}$  with  $\text{Hom}_{\mathcal{C}}(x,y)$  A-torsor  
 $\text{Hom}(x,y) \times \text{Hom}(y,z) \rightarrow \text{Hom}(x,z)$  A-map

e.g.  $A = \mathbb{C}^*$   $H^2(\Gamma, \mathbb{C}^*) =$  actions of  $\Gamma$  on  
category  $\text{Vect}_{\mathbb{C}}$ , or even 1-dim  $\text{Vect}_{\mathbb{C}}$  :

$$g \in \Gamma \quad \varphi_g : \mathcal{C} \rightarrow \mathcal{C} \quad \varphi_{gh} \xrightarrow{c(g,h)} \varphi_g \circ \varphi_h$$

+ 2-cocycle condition for triples  $g, h, k$ .

"Candidate" for nonabelian  $H^2$  of  $\Gamma$ : actions of  $\Gamma$  on categories

Classical concept of character of  $\Gamma$ :  $\chi(gh) = \chi(g)\chi(h)$ ,  $\chi(g) \in \mathbb{C}^*$   
generalizations  $\swarrow$

2-cocycles  
 $\{c(g,h) \in \mathbb{C}^*\}$

$\searrow$   
matrix representations  
 $\rho(g) \in GL_n$

$\swarrow$  ?  $\searrow$   
common generalization  
is nonabelian 2-cocycles?

[ $K_n$  is part of  $H_n$ , things we actually "see" come]  
from homology, e.g. tree symbols etc.:  $K_n \rightarrow$  primitive elements  
in homology

Analogue of a character of a representation for action on category  
(w. Nora Carter)

Suppose have actn on category:  $\forall g \quad \varphi(g): \mathcal{C} \rightarrow \mathcal{C}$   
 $\text{Tr}(\text{functor } A: \mathcal{C} \rightarrow \mathcal{C}) = ?$

Def  $\text{Tr}(A) = \text{Natural transformations}(\mathbb{1}_{\mathcal{C}}, A)$

Ex.  $\mathcal{C} = D^b(\text{coh } X)$ .  $K \in D^b(X \times X)$  kernel

$$A(F) = R p_{2*} (p_1^* F \otimes^L K)$$

$$\Rightarrow \text{Tr } A = R\Gamma(X, K|_{\Delta}^L)$$

If  $\Gamma$  acts on  $\mathcal{C} : \forall g \Rightarrow \text{Tr } \rho(g)$  "trace" on  $\Gamma$   
 which is conjugation equivariant ... character trace  
 (Lusztig character traces should be as traces in this  
 sense for action on  $D^b(G/B)$  --- constructible sheaves)

If  $g, h \in \Gamma$  commuting  $\Rightarrow$  2-character  
 $\chi_{\mathcal{C}}^{(2)}(g, h) = \text{tr}(g | \text{Tr } \rho(h))$

function on pairs of commuting elements, invariant under  
 simultaneous conjugation. --- 2-class functions

-- appear in elliptic cohomology:

$\text{Ell}(BT) =$  2-class functions (Hopkins-Kuhn-Ravenel)

$H^1(\Sigma)$  in certain families of categories over  $\Sigma$ , like  
 $K(\Sigma)$  in vector bundles over  $\Sigma$ .

So Morita's  $H^2$  in actions on categories

Naive idea of matrix representations: direct sums of  $\text{Vect}_{\mathbb{C}}$

-- modules over ring category  $(\text{Vect}_{\mathbb{C}}, \oplus, \otimes)$

e.g.  $\text{Vect}_{\mathbb{C}}^{\otimes n} = \text{Coh}(\underbrace{\dots}_{n})$

$\Rightarrow A = \|A_{ij}\|$  matrix of vector spaces

Problem: few invertible matrices (since  $\dim$  vector spaces  $\geq 0$ )

Note • Usual characters = class fns which are elementary  
 projectors under convolution

## 2. Hecke operators

$X$  curve /  $\mathbb{F}_q$   $x \in X$   $\text{Bun}_r(X)$

$\Rightarrow$  Hecke operators  $T_{x,i} : \mathbb{C}[\text{Bun}_r(X)] \leftarrow$

$T_{x,i}(f) = \sum [E' : 0 \rightarrow E' \rightarrow E \rightarrow k_x^{\otimes i} \rightarrow 0] f(E')$   
 sum over such mod. problems  $k_x$

$X$   $n$ -dim variety /  $\mathbb{F}_q$ . Construct operators on  $\mathbb{C}[\text{Bun}_r X]$

$E'$  as above would no longer be a bundle, rather torsion-free sheaf  $\Rightarrow$  better perhaps to modify classification.

Let  $\text{Coh}_m(X)$ : Purely  $m$ -dimensional coherent sheaves  
ie.  $\mathcal{F}$  s.t.  $\text{Ext}_{\mathcal{O}_X}^i(\mathcal{F}, \mathcal{O}_X) = 0$  for  $i \leq n-m$

$\forall \mathcal{F} \in \text{Coh}_m$ ,  $\mathcal{E} \in \text{Coh}_{m+1}$  can consider modifications  
 $0 \rightarrow \mathcal{E}' \rightarrow \mathcal{E} \rightarrow \mathcal{F} \rightarrow 0$

$\Rightarrow \mathcal{E}' \in \text{Coh}_{m+1}$

$\Rightarrow \exists$  operators  $T_{\mathcal{F}}$  on  $\mathbb{C}[\text{Coh}_{m+1}(X)]$

e.g. punctured Hecke operators act on sheaves with 1-dim support etc.

Satisfy Hall algebra relations:

$$T_{\mathcal{F}'} \circ T_{\mathcal{F}''} = \sum_{\mathcal{F}} c_{\mathcal{F}'\mathcal{F}''}^{\mathcal{F}} T_{\mathcal{F}}$$

$$c_{\mathcal{F}'\mathcal{F}''}^{\mathcal{F}} = \# \{ \mathcal{F} \subset \mathcal{F}' : \mathcal{F} \cong \mathcal{F}'', \mathcal{F}'/\mathcal{F} \cong \mathcal{F}'' \}$$

Hall algebra of  $\text{Coh}_m$ .

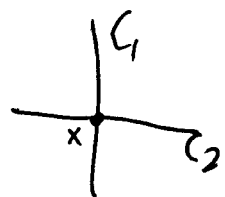
On a curve  $T_{x,i} \leftrightarrow \Lambda^i(\mathbb{F}_x)$  Frobenius in Galois group

$X$  surface:  $\text{Coh}_0$  supported at pts, its Hall alg acts on  
 $\text{Coh}_1 = 1$ -dim support, whose Hall algebra acts  
on  $\text{Coh}_2 = \text{Bun}(X)$

$\text{Hall}(\text{Coh}_1) \hookrightarrow \mathbb{C}[\text{Bun}_r X]$ : Wildly behaved action.

For nonintersecting curves operators commute

Conjecture  $\forall$  point  $x \in X$  should have a class in  
 $\text{HH}^2(\text{Hall}(\text{Coh}_1)) \leftrightarrow$  Frobenius of pts  
in Galois group



Think of point as giving relations between curve operators ...  $x$  gives a non-trivial relation  $\rightsquigarrow$   $HH^2 \dots$

Such relations become Serre relations in case of ADE graphs... in general don't know structure

So points don't act, but give some such higher cohomological objects, corresponding to Frobx.  
 --- look for this as basis of relation

### 3. "Generalization" of elliptic modules

$X$  surface /  $\mathbb{F}_q$

$$A = \mathbb{F}_q[X - D]$$

$D$  ample divisor

(think in terms of this embedding in rings of diffop, or Frobenius-linear polynomials)

$K =$  completion of  $\mathbb{F}_q(x)$  along  $D$ :  
 div. field, with residue field  $= \mathbb{F}_q(D)$ :  
 i.e. semilocal field.

$A \subset K$  discrete.

Drinfeld exponential  $e_A(z) = z \prod_{a \in A \setminus 0} (1 - \frac{z}{a})$   
 $q$ -power series

(i.e. of form  $z + c_1 z^q + c_2 z^{q^2} + \dots$ )

$$e_A(nz) = P_n(e_A(z)) \quad \text{for } n \in A$$

$P_n$  is a  $q$ -power series  $= n_1 u + n_2 u^q + \dots$

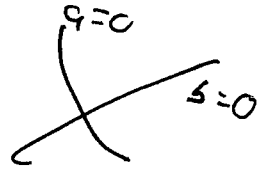
- get formal module not elliptic module,  
 $P_{n+m} = P_n + P_m, \quad P_{nm} = P_n(P_m) = P_m(P_n)$

Case of curves:  $P_n$ 's are finite degree polynomials have finiteness, have moduli spaces

Finiteness properties  $K/A \xrightarrow{P_A} K$  as abelian groups

→ get natural  $A$ -module structure on  $K/A$ .

Let  $a, b \in A$  ... i.e.  $a, b$  give curves and  $\{a=b=0\}$  a 0-dim subscheme



→ form Koszul complex  $0 \rightarrow K \xrightarrow{x \mapsto (P_A(x), P_B(x))} K^{\oplus 2} \rightarrow K \rightarrow 0$

$$(u, v) \mapsto P_b(u) - P_a(v)$$

exact away from middle term, where cohomology is a finite abelian group ... analog of torsion of an elliptic module -- follows from injectivity of  $K$  as  $A$ -module :  $\text{ann } x = (P_A(x))$ .  $(K, x) \simeq K/A$   
 $K$  injective  $A \Rightarrow$  complex calculates  $\text{Ext}^*(A/(a, b), A)$

(we're considering  $A$  as the trivial  $A$ -lattice in  $K$ )

$$H^1 \simeq \text{Ext}^2 \simeq A/(a, b) \oplus \Omega_A^2$$

More generally for  $L \subset K$  locally free  $A$ -submodule write  $e_L, P_n^L(u)$

$$\text{Koszul} : |H^1| = (\text{rk } L) \cdot A/(a, b)$$

or more canonically middle cohomology is  $M_{(a, b)}^{\oplus 2}$

Need global result:  $K \xrightarrow{\varphi} K^2, x \mapsto \begin{cases} u = P_a(x) \\ v = P_b(x) \end{cases}$

$\text{Im}(\varphi) \subset K^2$  has unique up to const analytic continuation  $R_{a, b}(u, v)$

Expect  $P_b(u) - P_a(v)$  to be a polynomial of  $R_{a, b}(u, v)$

#### 4. Eisenstein series for Kac-Moody groups

Usual geometric Eisenstein series: Consider maps  $X \xrightarrow{f} G/B$   
 $\deg f \in \mathbb{Z}$   $H_2(G/B) = \mathbb{Z}$  cone is  $\mathbb{R}$  lattice  
 Mapd finite dimensional.

$$E(z) = \sum_{\deg} |\text{Mapd}|_{\mathbb{F}_q} \cdot z^d \quad z \in T^* = \text{Hom}(L, \mathbb{C}^*)$$

... this series has support more or less in dominant cone,  
 $\mathbb{Q}$  gives a rational function of  $z$  satisfying  
 functional equation w.r.t Weyl group  $W$ .

(could replace  $|\text{Mapd}|_{\mathbb{F}_q}$  # points by a motive, or  
 topological Euler characteristic,  
 Hodge polynomial etc - anything additive w.r.t  
 cut & paste (ie "measure")

$p$ -shifted  
 $W$ -action

$$E(wz) = \prod_{\substack{\alpha > 0 \\ w(\alpha) < 0}} \frac{f(z^\alpha)}{f(qz^\alpha)} \cdot E(z)$$

Now  $G \rightsquigarrow \hat{G}$  Kac-Moody group

$$1 \rightarrow \mathbb{C}^* \rightarrow \hat{G} \rightarrow G(\mathbb{C}((t))) \rightarrow 1$$

determinantal central extension from  $GL_n$  for  $GL_n$  case  
 - from Sato Grassmannian

Drinfeld

Poor result for construction of  $\hat{G}$  compared with  
 strength of people involved (Faltings, Deligne, Bruhat, ...)  
 - all fail for  $G = E_8$  eg in family of curves  
 acquiring singularities

$$\text{Max torus of } (\mathbb{C}^* \rtimes \hat{G} = \tilde{G}) \text{ is } \begin{matrix} T \times \mathbb{C}^* < \mathbb{C}^* \\ \downarrow \quad \downarrow \quad \downarrow \\ \mathbb{Z} \quad \mathbb{Z} \quad \mathbb{Z} \end{matrix}$$

$$\tilde{W} = W \ltimes L \text{ acts}$$

modular variable  
 for elliptic curves



Let  $E$  universal elliptic curve  
 $\mathcal{W} = \{ |q| < 1 \}$   
 $(4)$   
 $(E \otimes \mathbb{Z} L) / \mathcal{W}$  theta line bundle

then  $\hat{T}_r / \mathcal{W} =$  total space of  $(4)$ .

$\hat{T}_c = \{ |q| < 1 \} \subset \hat{T}$  - relation between characters of Ker-Macdy group & theta functions

"S-duality" :  $X$  projective surface /  $\mathbb{C}$   
 $Bun_G(X, n)$  : semistable bundles with  $G = n$

$$E_G(q) = \sum \chi(Bun_G(X, n)) q^n$$

should exhibit modular behavior, for a congruence subgroup  
 More general generating functions:

$Z \subset X$  curve

$Bun_{G,B}(X, Z, nd)$  :  $G$ -bundles on  $X$ ,  $G = n$ , with  $B$ -reduction along  $Z$  of degree  $d \in \mathbb{Z}$

(degree of  $B$ -reduction of  $G$ -bundles on  $X \leftarrow Z \rightarrow \mathbb{P}^1$ )

$$Z \in T^v : E_G(q, z) = \sum_{nd} \mu(Bun_{G,B}(X, Z, nd)) q^n z^d$$

should have elliptic behavior in  $z$ , modular behavior in  $q$ .

Change of setup Fix a bundle  $P_0$  on  $X \setminus Z$

$$M_{G, P_0}(n) := \{ (P, \bar{c}) : P \text{ } G\text{-bundle on } X, \bar{c} : P|_{X \setminus Z} \rightarrow P_0 \}$$

$(c_2(P) = n)$

$$M_{G, P_0}(nd) = \{ (P, \bar{c}) \text{ as above + parabolic structure of degree } d \}$$

Claim If  $Z \cdot Z < 0 \Rightarrow$  these spaces are finite dimensional & empty for  $n < 0$

Evidence:  $T_{[P, \mathbb{C}]} M_{G, \rho, 0}(n) = H^1_Z(X, \text{ad } P)$

$= H^0(Z, \mathcal{H}^1_Z(X, \text{ad } P))$  has filtration with quotients  $\text{ad } P|_Z \otimes N_{Z/X}^{\otimes i}$  (normal bundles)... have no sections for  $i > 0$

Relation to maps into affine Grassmannian  $Gr = G(\mathbb{H})/G[\mathbb{H}]$

Say  $X = Z \times A'$ . A  $G$ -bundle on  $X = Z \times A'$  with a triv on  $Z \times \{A' = 0\}$

is a map  $Z \rightarrow G$ .

In general have twisted situation: bundle of Grassmannians

finite d.m. version:  $G \downarrow \Rightarrow \text{Flags}(P)$  twisted  $G/B$  bundle,  $Z$  we're considering sections

(ie Bun $_G \rightarrow$  Bun $_G$ : reduction of  $G$ -bundle to  $B$ )

$\hat{G}$ -bundles  $\downarrow Z \Leftrightarrow$  line bundle  $L$  over  $Z$  + principal bundle on  $\text{Tot}(L) = 0$

+ determinantal data for central extension  
--- ruled surface case. More generally should consider  $G$ -bundles on tubes around surface

Assume  $\hat{G} = Z \cdot Z < 0$ . write  $\hat{G} = \hat{G} \times \mathbb{C}^*$ ,  $\hat{T} = T \times \mathbb{C}^* \times \mathbb{C}^*$

Write  $\sum_{n,d} \mu(M_{G, \rho, B}(n,d)) q^n z^d v^{-d}$

$E(q, z, v)$  formal function on  $\hat{T}$ .

Theorem  $E(q, z, v)$  extends to a meromorphic section of  $\mathcal{O}^d$

on  $(E \otimes L)/W$

Pf: reduction to simple reflections

$\downarrow$

$$\tilde{E}(q, z, v) = E(q, z, v) \cdot \prod_{\substack{\alpha > 0 \\ \text{affine pos roots}}} \frac{\zeta(\zeta^\alpha)}{\zeta(\zeta^\alpha \cdot \mathbb{L})}$$

( $\mathbb{L} = \mu(A')$  mod  $\hbar$ ) is Waff invariant

Example  $Z = \mathbb{P}^1 \subset X = \text{ruled surface}, Z \cdot Z = -d$   
( $X \rightarrow (\mathbb{C}^2 - d)/\mathbb{Z}$ )

Claim  $G$ -bundles on  $X \cdot Z \leftrightarrow$  integrable characters of level  $d$  for  $G^v$ .  
(corresponding Eisenstein series are characters.)

-- Kac-Moody bundles /  $\mathbb{P}^1$  come from ~~the~~ torus

For  $\rho_0 \leftrightarrow \pi$  irrep of affine of  $G^v$

Then  $E_{\rho_0}$  is  $\mathbb{L}$ -deformation of the character  
( $\mathbb{L} = \mu(A')$ )  
= # points of orbit

-- Hall polynomials